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**TOWARDS AN ACCESSIBLE SCIENCE: FACILITATING
ACCESS TO SCIENTIFIC
DIGITAL RESOURCES FOR VISUALLY IMPAIRED
STUDENTS**

Copyright Management

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EXECUTIVE SUMMARY

This work is part of the Work Package 4 (WP4: Scientific resources usable by visually impaired: text books, lessons, examinations). Regulations concerning intellectual property and copyright may affect distribution as well as adaptation of documents to be read by blind and partially sighted readers. Therefore this work aims to analyze copyright regulations in order to make clear in which countries and under which circumstances reading resources can be adapted and distributed to blind or partially sighted students. At first the European Copyright Directive is introduced. Subsequently, is analyzed the application of the European Copyright Directive in most European countries, with respect to provisions for people with disability. Then, some success experiences in delivering copyrighted works to people with visual impairment are introduced. The following sections concern distribution modalities oriented to open access repositories which turn to be useful for individuals as well as public or private institutions which adapt and distribute content to people with visual impairment. In particular, since scientific papers and journals are essential in science learning and in scientific research, distribution of these resources is addressed by illustrating both delivery modalities and success experiences.

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1 INTRODUCTION

All the institutions, associations and companies which work to adapt and deliver reading resources for the use of blind or partially sighted readers have to manage issues concerning copyright. Regulation about copyright has been evolving all over the world for years. In Europe, in 2001 was enacted the Directive 2001/29/EC of the European Parliament and of the Council of 22 May 2001 (EUCD) on the harmonisation of certain aspects of copyright and related rights in the information society. This directive concerns the legal protection of copyright and related rights in the framework of the internal European market, with particular emphasis on the information society. Today, years after intense debates, almost all EU member states have transposed the EU-Copyright Directive into national law. However, the continuing controversies surrounding the EUCD itself and conflicts about the national implementations have made clear that we are far from having reached a consensus about the appropriate design of copyright law for the digital age that satisfies the interests of all relevant stakeholders, including content producers, teachers, students, and the public at large. Scientific communication is undertaking new strategies of knowledge dissemination, which comply with author's right. These strategies are based on the Open Access Initiative. Section 4 will introduce this initiative and benefits emerging for blind and partially sighted readers.

2 THE EUROPEAN COPYRIGHT DIRECTIVE

This section analyses the European Copyright Directive with respect to the possibility to deliver copyrighted content to blind and partially sighted persons.

The EUCD provides authors for three basic rights: reproduction right, right of communication to the public of works and of making available to the public other subject-matter and distribution right.

These three rights are set out as follows in articles II.2, II.3 and II.4:

2.1 Article 2

Reproduction right

Member States shall provide for the exclusive right to authorise or prohibit direct or indirect, temporary or permanent reproduction by any means and in any form, in whole or in part:

- a. for authors, of their works;
- b. for performers, of fixations of their performances;
- c. for phonogram producers, of their phonograms;
- d. for the producers of the first fixations of films, in respect of the original and copies of their films;
- e. for broadcasting organisations, of fixations of their broadcasts, whether those broadcasts are transmitted by wire or over the air, including by cable or satellite.

2.2 Article 3

Right of communication to the public of works and right of making available to the public other subject-matter

1. Member States shall provide authors with the exclusive right to authorise or prohibit any communication to the public of their works, by wire or wireless means, including the making available to the public of their works in such a way that members of the public may access them from a place and at a time individually chosen by them.
2. Member States shall provide for the exclusive right to authorise or prohibit the making available to the public, by wire or wireless means, in such a way that members of the public may access them from a place and at a time individually chosen by them:
 - a. for performers, of fixations of their performances;
 - b. for phonogram producers, of their phonograms;

- c. for the producers of the first fixations of films, of the original and copies of their films;
 - d. for broadcasting organisations, of fixations of their broadcasts, whether these broadcasts are transmitted by wire or over the air, including by cable or satellite.
3. The rights referred to in paragraphs 1 and 2 shall not be exhausted by any act of communication to the public or making available to the public as set out in this Article.

2.3 Article 4

Distribution right

1. Member States shall provide for authors, in respect of the original of their works or of copies thereof, the exclusive right to authorise or prohibit any form of distribution to the public by sale or otherwise.
2. The distribution right shall not be exhausted within the Community in respect of the original or copies of the work, except where the first sale or other transfer of ownership in the Community of that object is made by the right holder or with his consent.
3. EUCD allows for some exceptions and limitations to reproduction, communication and distribution rights. In particular, the following provisions concerning exceptions and limitations are of special interest to deliver content to blind and partially sighted. First of all, Recitals 34 and 43 emphasize the need for exceptions, especially for facilitating learning and for the benefit of people with disability.
 - (34) Member States should be given the option of providing for certain exceptions or limitations for cases such as educational and scientific purposes, for the benefit of public institutions such as libraries and archives, for purposes of news reporting, for quotations, for use by people with disabilities, for public security uses and for uses in administrative and judicial proceedings.
 - (43) It is in any case important for the Member States to adopt all necessary measures to facilitate access to works by persons suffering from a disability which constitutes an obstacle to the use of the works themselves, and to pay particular attention to accessible formats.

2.4 Article 5

Article 5 requires member states to allow for exceptions to reproduction, communication and distribution rights, in particular as for cultural, research and teaching activities and people with disability.

Article II.5.2(c)

Member States may provide for exceptions or limitations to the reproduction right provided for in Article 2 in the following cases:

- (c) in respect of specific acts of reproduction made by publicly accessible libraries, educational establishments or museums, or by archives, which are not for direct or indirect economic or commercial advantage.

Article II.5.3

Member States may provide for exceptions or limitations to the rights provided for in Articles 2 and 3 in the following cases:

- (a) use for the sole purpose of illustration for teaching or scientific research, as long as the source, including the author's name, is indicated, unless this turns out to be impossible and to the extent justified by the non-commercial purpose to be achieved;
- (b) uses, for the benefit of people with a disability, which are directly related to the disability and of a non-commercial nature, to the extent required by the specific disability;
- (n) use by communication or making available, for the purpose of research or private study, to individual members of the public by dedicated terminals on the premises of establishments referred to in paragraph 2(c) of works and other subject-matter not subject to purchase or licensing terms which are contained in their collections.

Article II.5.4

Where the Member States may provide for an exception or limitation to the right of reproduction pursuant to paragraphs 2 and 3, they may provide similarly for an exception or limitation to the right of distribution as referred to in Article 4 to the extent justified by the purpose of the authorised act of reproduction.

The implementation of EUCD provisions in EU member states went through many controversies. The following section illustrates how EUCD was implemented in member states, especially with respect to exceptions and limitations which can facilitate the reproduction and distribution of digital content to blind and partially sighted.

3 APPLICATION OF THE EUROPEAN COPYRIGHT DIRECTIVE

3.1 Introduction

Up to now, the European Copyright Directive has been transposed in almost all member states. The following sections will illustrate in particular how were implemented:

- Exceptions and limitations for people with disability;
- Exceptions and limitations for learning purposes;
- Exceptions and limitations for libraries and educational establishments.

3.2 Austria

The directive was implemented into Austrian law in April 2003. The EU directive uses the term “copyright”, whereas in Austria and in Germany there is a distinction between author’s rights and derivative exploitation rights.

Author’s right cannot be removed or sold and is therefore inalienably linked to the author. Exploitation rights can be negotiated. Exceptions and limitations concerning making copies and distributing copyrighted works are analyzed. It is generally not allowed, unless the rights holder authorizes it (in subsection 8 the law says “only with the consent of the rights holder”) to copy whole books, whole magazines or sheet music; even when the copy is produced from another copy. An exception holds for not published or out of print works. An entirely new provision, laid down in section 42d, concerns special rights for persons with disability: it is now explicitly allowed to copy and distribute copyrighted works for disabled people, who would otherwise have no or only limited access to those works. However, that must be done not for commercial purposes, which actually limits this right. There are also problems with the provisions in subsection 2, where it says the author has the right to an “appropriate compensation” for copies even under this regulation. In practice, individuals as well as whatever institution, association or company which will recourse to this right will have to look for a fair balance between the obligation not to act commercially on the one hand and the royalties to pay to the rights holder as “appropriate compensation” on the others. Also, Austrian lawmakers have not introduced, as their German colleagues have, a regulation obliging rights holders to lift copy protection mechanisms for the purpose of producing copies of a copyright work on a medium legible for disabled persons. That means for example that law infringement may occur in trying to adapt copyrighted works protected by copy protection mechanisms or DRM systems. Since many digital resources are going to be protected, special agreements with rights holder have to be reached before adapting. So, the lack of a specific provision may slow down the production of digital resources accessible for disabled people.

As for copy regulations for public institutions, Section 42, Subsection 6 deals with provisions for schools and universities, which may produce copies of copyrighted works in the following cases:

- copies for teaching purposes;
- copies on paper or a similar matter.

According to subsection 7 of the same section, public archives and libraries, which were formerly simply allowed to produce copies for their own non-commercial ends, will have to observe some restrictions in the future. They may still produce copies on paper or a similar matter indiscriminately, but in contrast to the former regulation, copies on any other media (e.g. digital copies) may be produced only for non-commercial ends. Furthermore, the work must be in possession. For all copies, the following conditions must apply:

- one single copy may be produced of own pieces of work; this copy may be exhibited, lent or used under same provisions as the original;
- just like private persons, libraries and archives may produce single copies of works which have not been published or are out of print; the same provisions on exhibiting, lending and using the work apply to these copies as to the original work.

3.3 Belgium

The Belgian Copyright Act of June 30, 1994 was amended by the act of May 22, 2005, which transposed the EUCD into Belgian law. As for exceptions for people with disability, Belgian law allows reproduction and distribution, provided that the use may not conflict with the normal exploitation of the work and may not unreasonably prejudice the legitimate interests of the rightholders (Article 22.1(11)). As for exceptions for libraries and educational establishments, provisions are set out in Article 22(1)(8). Educational establishments can reproduce works limited to number of copies necessary for preservation of cultural and scientific patrimony. There must be No conflict with normal exploitation and no unreasonable prejudice of the legitimate interest of the author. Copies are property of museum, library or archive.

3.4 Bulgaria

Bulgaria transposed EUCD into national legislation in 2002 by amending the Copyright and Related Rights Act (CRRA). Since in 2002 Bulgaria was not an European Union member state, its legislation was examined by Monitoring Reports of the European Commission and all the requirements were met. As of Article 24(1) reproduction of published works through the Braille system or another analogous method not for commercial purposes is permitted. The Bulgarian exception in this respect is narrower than the EUCD one. It benefits specifically only blind people. It is permitted reproduction of already published works made by public libraries, educational establishments or museums, or by archives for the purposes of education or preservation and not commercial purposes. Also, it is permitted the use of parts of published works or of a limited number of works in other works to an extent necessary for scientific research. Such use shall be admissible solely for teaching or scientific purposes, as long as the source and the author's name are indicated.

3.5 Cyprus

EUCD was transposed into #Cyprus Law in 2004 through the Copyright and Related Rights (Amendment) Law of 2004 (Law n. 128(I)/2004, Official Gazette of the Republic of Cyprus, n. 3850, 30-04-2004).

Up to the transposition of EUCD into #Cyprus Law, no limitation for people with disability has existed in Copyright Law. Such a limitation was implemented by adding a new paragraph to Article 7(2) of the #Cyprus copyright law. The provisions set out are a translation of the corresponding provisions in EUCD. Copyright does not confer control over uses for the benefit of people with a disability which are directly related to the disability and of non-commercial nature, to the extent required by the specific disability. Since the limitation does not address any specific use, both acts of reproduction and communication to the public are included. Limitations for libraries, museums and archives are set out. In particular, acts of reproduction are permitted provided that they do not offer any financial benefit and that they are not subject to any payment. Concerning limitations for teaching and research, paragraph 18 of Article 7 (2) was added. It states that use of works for the purpose of illustration for teaching or scientific research is permitted as long as the source, including the author's name, is indicated, unless this turns out to be impossible and to the extent justified by the non-commercial purpose to be achieved. Both acts of reproduction and communication to the public are included. Fair compensation is not required.

3.6 Czech Republic

The Czech Republic implemented the Directive mostly with the Law N. 131/2000 Coll. of 7 April 2000 on Copyright, Rights related to Copyright and on the Amendment of Certain Laws, which came in force on 1 December 2000 (Copyright Act 2000) 186. EUCD was fully transposed by adding provisions on technological measures in late 2005. Article 37 paragraph 2c almost translates the provisions in EUCD. It is stated that no copyright infringement occurs whenever a reproduction of a copyrighted work is made for non commercial purposes, for the benefit of people with a disability, to the extent required by the specific disability. According to Article 37 paragraph 1 Copyright Act 2000, reproduction of works is permitted in a library, archive and other non commercial school, educational and cultural establishment for their archival and conservation purposes. As for limitations about teaching and scientific purposes, the Copyright Act 2000 provides in Article 31b and 31c and Article 35 paragraph 3 restrictions about Article 5 (3) (a) EUCD. There is no copyright infringement if copyrighted works are included into independent scientific, critical or technical work, or work designated for teaching purposes. The author and the source must be indicated.

3.7 Denmark

The Directive was implemented in Danish legislation as a revision of the existing Danish law on intellectual property right. The Danish Copyright Act (Consolidated Act No. 164 of March 12, 2003)

transposes the provisions of the EUCD into Danish national law. General EUCD provisions concerning reproduction, communication and distribution of copyrighted content are transposed in Danish law as they are addressed in EUCD.

In particular, some exceptions and limitations are remarkable. Reproduction within archives, libraries and museums is allowed if concerned with their activities and if not done for commercial purposes. By the way, if the copies are done in digital form, the copies may not without the consent of the author be lent or in any other way be made available to the public outside the archives, libraries or museums.

A new legal licence to allow libraries to distribute articles and text excerpts to their users in digital form (by e-mail, through authorized remote connection, etc.) was introduced.

As for exceptions for people with disability, it is permitted to use and distribute copies of published works if the use and the distributed copies are specifically intended for the blind, visually impaired, the deaf and sufferers from speech impediments, and besides persons who on account of a handicap are unable to read printed text. This exception shall not apply to the use or distribution of copies for commercial purposes.

Circumvention of technological measures to protect copyrighted works to the purpose of making works available for people with disability is addressed by Danish law. In article 6.4 of the directive the starting point is that rights holders should make it possible for users that are covered by the exceptions in section 2 to make use of the exceptions. One way of doing this is through an agreement between the rights holders and the group of users covered by the exception (i.e. disabled people). If an agreement can not be reached section 75(d) of the Danish law gives the users (and the rights holder) a right to take the case to an administrative body: The Board for Intellectual Property Rights. The board can instruct the rights owner to make the works available for the affected group of users in a form that allows the users to make use of the exception. How this should be done will be decided on a case-by-case basis: by making cryptographic keys available, by making the work available in non-digital form, by making decryption devices available etc.

3.8 Finland

Finland has implemented the Copyright Directive through law 14.10.2005/821, amending the Copyright Act (8.7.1961/404). Exceptions to reproduction, distribution, communication and broadcasting of literary, music and artistic works are permitted for the benefit of people with disability. According to the amended Act, public libraries, educational establishments and archives are permitted to reproduce published works for non commercial purposes concerning preservation. As for exceptions for education and research purposes, copies of published works may be made on the basis of an extended collective license in accordance with Article 26 to be used for education or scientific research and may be used for this purpose by communication to the public except for radio or television broadcasting. These provisions do not concern photocopying. Copies of published works performed by teachers or students may be recorded through voice and picture to be used only for education purposes.

3.9 France

In August 2006 the French Parliament approved the French transposition of EUCD: DADVSI. Article L-122-5 states that reproduction or communication of copyrighted works are allowed for private use by disabled people. Disability must be motorial, mental, sensorial, psychological, cognitive or physical, should amount to a rate to be determined by the Conseil d'Etat, and should be recognised by a specialised commission or a medical certificate. Reproduction and representation by a legal entity or publicly open establishments is permitted. The list of eligible institutions is determined by the authorities. Publishers will have obligation to provide their digital files to any certified organism producing alternate books and material for the blind or/and handicapped people. Article L-1225-8 sets out exception provisions for educational establishments and libraries. Educational establishments and libraries can reproduce works to the purpose of preservation or on site consultation.

3.10 Greece

EUCD was transposed into Greek Law by Article 81 of the Law 3057/2002 entitled "Amendment and Completion of Law 2725/1999, regulation of matters pertaining to the Ministry of Culture and other provisions" (Official Gazette A239/10.10.2002). Greece was the first European Union Member State which transposed the EUCD into national law. Article 81 of Law 3057/2002 provides that: "The reproduction of published works is permitted for the benefit of blind and deaf-mute people, for non-commercial purposes directly related to the disability, to the extent required by the specific disability". A resolution of the Minister of Culture made applicable these provision to other disabilities, too. It is remarkable that this provision concerns only acts of reproduction. Libraries, museums and education establishments can make copies of published works only for non commercial purposes aiming preservation. In particular, Article 22 provides that : "It shall be permissible, without author's authorisation and at no expense, for a non-profit library or archive to reproduce one additional copy from a copy of the work already in their collection, for the purpose of retaining that additional copy or of transferring it to another non-profit library or archive. The reproduction shall be permissible only if an additional copy cannot be obtained in the market promptly, and on reasonable terms". As for teaching and research purposes there is no general limitation. There are only provisions about specific cases. In particular, Article 21 of Law 2121/1993 provides that : "It shall be permissible, without any author's authorisation and at no expense, to reproduce Articles published in a newspaper or periodical, short extracts of a work or parts of a short work or a published work of fine art work exclusively for teaching or examination purposes at an educational establishment, in such measure as is compatible with the aforementioned purpose, provided that the reproduction is effected in accordance with fair practice and does not conflict with the normal exploitation. The reproduction must be accompanied by an indication of the source and of the names of the author and the publisher, provided that the said names appear on the source".

3.11 Germany

The German Copyright Act of September 9, 1965, amended on September 10, 2003 implements the provisions of the EUCD.

In accordance with Article 5 of the EUCD, the German law introduces a number of exceptions and limitations to the exclusive rights to reproduce, to communicate and to make available to the public a work. These exceptions are laid down in part 6137. Copyrighted works can be copied, in particular, in the following circumstances:

- for persons with a disability, in order to enable them to access a work (section 45a). This section is the first provision of the German Copyright Act to be specifically designed for the needs of persons with disabilities. It thus honours Article 3 of the German Constitution, which states that no person shall be disfavoured on the basis of disability. Section 45a permits the reproduction of protected subject matter, such as the recording of works, for the benefit of blind persons, to the extent required by the specific disability. As far as reproduction exceeds a small number of copies, paragraph 2 entitles rightholders to an appropriate compensation which claim is to be exercised by a collecting society;
- for educational and research purposes . The likewise new Section 52a deals with a specific limitation to the right of making available to the public. Section 52a [1] allows the making available of protected subject matter, which has been published, to certain distinct groups of persons if and to the extent where this is necessary for certain teaching or research purposes. Examples include the use in on-line classes or access-controlled parts of a university's intranet. With this provision, the German legislature has made use of Article 5.3(a) of the Copyright Directive which entitles Member States to create exemptions for the benefit of educational or scientific uses. Paragraph 4 of Section 52a compensates rightholders with an appropriate remuneration which is to be claimed by a collecting society. The new exception on the right of making available is subject to various restrictions, due to lobbying by publishing companies and film producers. So has the extent to which protected materials can be made available been delimited to “small parts of a work”, “works of small size” and “single contributions from newspapers and periodicals”. Moreover, the number of beneficiaries has been confined to those institutions that are expressly mentioned. Finally, section 52(a) exempts expressly school books.

3.12 Hungary

Hungary transposed EUCD into national law in 2004 through Act No. CII of 2003, which amended the 1999 Copyright Act (Act No. LXXXVI of 1999). Article 41 (1) Copyright Act permits the reproduction and distribution of published works for the advantage of people with disability. It literally translates EUCD directive. The use must be justified by the specific disability and no economic advantage must be pursued. Article 35(4) states that Public libraries and museums,

educational establishments and archives are permitted to make reproductions of published works without author's authorisation. Reproduction can be made for internal uses or for teaching and research purposes. No commercial advantage must be pursued.

3.13 Ireland

Ireland transposed the EUCD with the amendment of the Statutory Instrument No. 16 of 2004 to the Irish Copyright and Related Rights. Exception provisions for disabled persons are set out in Article 104. Bodies designated by the Minister are entitled to reproduce and adapt copyrighted works to meet the specific needs of a disabled person. These bodies are also entitled to supply the modified copy to the disabled person. If the modified copy is subsequently made available to the public it is treated as an infringing copy. Articles 53-58 and Secs. 59 concern exceptions for educational establishments and libraries. Only educational establishments are entitled to reproduction exception for teaching purposes.

3.14 Italy

Italy transposed the EUCD in Legislative Decree of April 9th, 2003, no. 68: Implementation of the Directive 2001/29/EC on the harmonisation of certain aspects of copyright and related rights in the information society. This law, in particular provides for some exceptions to general provisions on reproduction, communication and distribution rights:

- Article 71-bis (1) states that people with disability are authorised, for their own personal use, to reproduce as well as to communicate to the public copyrighted works, for non-commercial purposes;
- in Article 71-quinquies(1) rights holders are required to adopt suitable solutions and agreements, to allow people and institutions to obtain the exceptions they are entitled to (for example, to allow visually impaired people to reproduce a protected e-book using a different format, in order to process it with a speech synthesiser or Braille display). Nobody, however, is allowed to circumvent TPMs, even if they forbid, for example, making a private copy as well as access to people with disability.

3.15 Latvia

EUCD was transposed into Latvian law in 2004 through the Statutory Instrument No. 16 of 2004 [European Communities (Copyright and Related Rights)] Regulations 2004. Section 19 paragraph 1 recital 3 and Section 22 Copyright Act 2004 permit reproduction of published works for the benefit of visually impaired or hearing impaired, provided that reproduction is done for non-commercial purposes. Further disabilities are not mentioned. Section 19 paragraph 1 recital 4 and Section 23 Copyright Act 2004 permit the reproduction of works for the needs of libraries and archives only for non-commercial preservation purposes. Section 21 paragraph 1 Copyright Act 2004 states that parts of a work can be included in

other works for teaching or research purposes, provided that the title and the author of the work are indicated. Especially, it is permitted to use communicated or published works or parts of them in textbooks which are in conformity with educational standards, in radio and television broadcasts, in audio visual works, in visual aids and the like, which are specially created and used in the face-to-face teaching and research process in educational and research institutions for non-commercial purposes.

3.16 Lithuania

Lithuania transposed EUCD into national law by the law amending the law on copyright and related rights, No. IX-1355, 5 March 2003. Article 22 paragraph 1 subparagraph 2 Copyright Act 2003 states the exceptions for people with disability. It is permitted reproduction for non-commercial, educational and scientific research purposes of published works in appropriate forms for people having hearing or visual impairments, to the extent required by the specific disability, with the exception of works especially produced for the use of people with hearing or visual impairment. It is remarkable that only educational and scientific research purposes and only hearing and visual impairments are covered by the copyright law. Public libraries, archives, and museums are permitted to reproduce works on paper only for non-commercial preservation purposes. Article 22 paragraph 1 subparagraph 1 Copyright Act 2003 permits the reproduction for teaching and scientific research purposes of published works or a short extract of a published work both in written form and through sound or visual recordings, provided that this is related to study programs and does not exceed the extent justified by the purpose. Full reference of the source must be indicated.

3.17 Luxembourg

Luxembourg transposed EUCD into national law in 2004 by amending the Law on Author's Rights, Related Rights and Databases (Loi du 18 Avril 2004 (Memorial A, no. 61 du 29 avril 2004, pp. 942-948). Article 10 (11) concerns exceptions for people with disability. The provisions literally transpose the EUCD directives. Reproduction and communications to the public for the benefit of people with disability are permitted. Article 10 (10) implements Article 5(2)(c) of EUCD. It states that libraries, educational establishments, archives and museums are permitted to reproduce published works for non-commercial preservation purposes. Published works or parts of them can be included in other works for teaching or scientific research purposes, provided that the source is indicated.

3.18 Malta

Malta transposed EUCD into national law in 2003 through the Copyright Act of Malta (Chapter 415 of The Laws of Malta) by virtue of the coming into force of Part XVIII of Act IX of 2003 called An Act

entitled the Various Laws (Amendment) Act). Section 9 (1) (i) of the Copyright Act provides that reproduction, translation, distribution or communication to the public of a published work are permitted without author's authorisation for the benefit of people with a disability, only for non-commercial purposes. Section 9 (1) (d) permits acts of reproduction made by publicly accessible libraries, educational establishments or museums, or by archives, which are not for direct or indirect economic or commercial advantage. Section

9(1) (h) permits reproduction, translation, distribution or communication to the public of a published work for teaching or scientific research purposes, to the extent justified by the non-commercial purpose. The full source reference must be included. No requirement for fair compensation is explicitly mentioned.

3.19 Norway

On 17 June 2005, the Norwegian Parliament passed the Act implementing the EU Copyright Directive into Norwegian law. Article 17 provides exceptions for people with disability. Exceptions are set out for literary or scientific works or musical works as copies in other form than sound recordings for blind and visually impaired people or persons otherwise unable to enjoy the work in the usual way due to a disability. Reproduction on film with or without sound for those with impaired hearing or ability to speak are permitted. The government may license organisations or libraries to reproduce a published literary or scientific work by making a fixation on a device that can reproduce them for the purpose of free use by disabled people. Right holder is entitled to receive remuneration. Article 16 provides exceptions for libraries. Libraries are allowed to make copies for purposes of conserving and securing their collections. More specific qualification of this limitation will depend on the contractual arrangements with the right holder's organisation, and no such contract has so far been concluded.

3.20 Poland

EUCD was transposed into Polish national law by Act of 1 April 2004 on the alteration of the Law on Copyright and Neighbouring Rights (2004 Act) and by the Act of 28 October 2002 on the alteration of the Law on Copyright and Neighbouring Rights (2002 Act). Article 331 provides that published works can be reproduced, distributed and communicated to the public for the benefit of people with disability, sole to the extent required by the disability and for non-profit purposes. As of Article 27 of this law, scientific and educational institutions are permitted, for educational or research purposes, to use published works in original and in translation and make copies of parts of these works. Moreover, as of Article 28.2 of Copyright law, libraries, schools and archives are allowed to make or commission preparation of copies of published works in order to complete, maintain or preserve their collections.

3.21 Portugal

Portugal transposed EUCD into national law in 2004, by amending the authors' rights law (No. 108/IX). Article 75(2)(i) Copyright Act permits the reproduction and distribution of published works for the benefit of people with disability, for purposes directly related to the disability and of a non commercial nature, to the extent justifies by the specific disability. Article 75 (2) states that acts of reproduction made by public libraries, educational establishments or museums, or by archives, which are not for direct or indirect economic or commercial advantage are permitted. Article 76(1)(b) Copyright Act requires fair compensation for authors. Article 75(2)(f) and (h) Copyright Act provide that published works or parts of them can be used for teaching or research purposes. There is no explicit requirement to include the full source reference.

3.22 Romania

EUCD was transposed into national legislation by Law no. 285/2004 and Emergency Governmental Ordinance No. 123/2005 (which was modified and approved by the Parliament as Law no. 329/2006). These Laws amended Law no. 8 of 1996 concerning the author's right (copyright) and neighboring rights. According to article 33 of amended Law 8/1996, the reproduction, distribution, radio broadcasting or public communication of works to the benefit of people with disability is permitted without author's authorisation and free of charge, provided that the use is directly related to the disability, there is no commercial advantage and there is no conflict with the normal exploitation of the work. Exceptions for public libraries, museums, archives and educational establishments are provided. In particular, it is permitted reproduction of whole published works for preservation purposes. It is permitted reproduction and distribution of parts of published works for teaching and research purposes. These exceptions hold only if there are non-commercial purposes.

3.23 Slovenia

Slovenia transposed EUCD into national law in 2004 by amending the Copyright and Related Rights Act. Article 47a provides that reproduction and distribution of published works for the advantage of people with disability are permitted. The work must not be already available in the desired form and no direct or indirect economic advantage must be pursued. An equitable remuneration has to be paid. This provision is narrower than the provision in EUCD. Indeed, it requires that the work is not already available in the desired form. Moreover, the payment of remuneration is required. Article 50(3) permits public archives, museums, libraries and educational or scientific establishments to reproduce published works owned by these institutions for internal uses. The provision prohibits any direct or indirect commercial advantage. Unlike EUCD, this provision also includes educational or scientific establishments.

3.24 Spain

As of 2006 provisions of copyright law are contained in Book One of the Intellectual Property Law of 11 November 1987 as modified. An exception for people with disability is contained in Article 31bis(3). It states that communication to the public, reproduction and distribution are not subject to authorization when these acts are undertaken for the benefit of people with a disability and are directly related to the disability and of a non-commercial nature, to the extent required by the specific disability. Article 37 (3) sets out exceptions for libraries and educational establishments. Reproduction of published works is permitted to libraries for no gainful interest. Educational establishments are excluded from this exception.

3.25 Slovakia

EUCD was transposed in Slovakia in Act No. 618/2003 of 4th December 2003. Exceptions to reproduction, communication and distribution rights for people with disability are set out in section 29(1). The authorisation of an author shall not be required to make a copy of a disclosed work, for its distribution to the public by any other form of transfer of ownership than sale, for its distribution to the public by lending or for communication to the public, provided such use is being exclusively realised to cater the needs of handicapped to the extent justified by their handicap and is not being realised to gain any direct or indirect economic advantage. Moreover, an exception for Libraries and archives. A library or archive may make without the authorisation of the author a copy, of the work from its own collections, provided the purpose of the making of a copy is to satisfy the request of a natural person who will use that copy for the purpose of education or scientific research exclusively within the premises of library or archive.

3.26 Sweden

EUCD was transposed into Swedish Law in May 2005. Provisions for disabled persons are set out in Article 17. Reproduction is permitted for the exploitation through Braille devices and speech synthesizers. Sound recordings and already available talking books are excluded from reproduction exception. Right-holders are entitled to remuneration. Only libraries and organizations with special permission from the government are allowed to produce talking books freely from paper books, to communicate copies through a network directly to disabled people and to make copies of radio or TV broadcasts and films for people who are deaf or hearing-impaired.

3.27 The Netherlands

EUCD was transposed into Dutch law in 2004 by amending The Dutch Copyright Act of 1912. Provisions about exceptions and limitations for people with disability are set out in Article 15. Although reproduction and distribution is permitted to enable people with disability to access copyrighted works, fair compensation is mandatory. In Article 16 there are provisions for libraries

and educational establishments. Reproduction for the purpose of archiving, restoring or replacing the work in case of imminent destruction, or keeping it consultable when there is no technology available to render it is permitted. No regulation about document delivery and interlibrary loan has been set out up to now.

3.28 United Kingdom

The UK transposed the provisions of the EU Directive with an amendment (The Copyright and Related Rights Regulation 2003) to the Copyright, Designs, and Patents Act 1988. An exception to reproduction and distribution rights is set out in Article 31A-31F. Reproduction and distribution are permitted only for visually impaired and people who are unable, through physical disability, to hold or manipulate a book or to focus or move their eyes to the extent that would normally be acceptable for reading, recordings of performances are excluded, provided that the work used is not available in the desired form. No distribution outside United Kingdom is permitted. Paragraph 5.2 (c) permits specific copying by publicly accessible libraries, educational establishments or museums, or by archives, which are not for direct or indirect economic or commercial advantage. Paragraph 5.3(a) permits use for the sole purpose of illustration for teaching or scientific research.

3.29 Summary

3.29.1 Introduction

National transpositions of the European Copyright Directive, in particular as for how exceptions are covered, are not homogenous. Furthermore, some essential matters, such as reproduction of works in digital formats, are not addressed by all countries or different provisions are set out. In some countries (e.g. United Kingdom) transnational distribution of copyrighted works adapted for people with disability is explicitly forbidden. These factors do not facilitate transnational distribution of copyrighted works by educational institutions and libraries, even as far as people with disability are concerned. Therefore, in order to achieve transnational reproduction and distribution of copyrighted digital content, two ways are proposed:

- case-by-case agreements have to be negotiated with right-holders. Model agreements can be developed and proposed to right-holders, based on success experience (see section 4);
- collaboration actions with publisher associations are needed both to make them better understand problems concerning access to digital resources for people with disability and to make specialist organizations acquire knowledge about publishers' policies and internal workflow.

The two following subsections will contribute to argue these conclusions by summing up problems concerning national transpositions of EU Directive with respect to exceptions and limitations.

3.29.2 Exceptions for archives and libraries

In the context of the EUCD and with regard to the transition from an analog/offline to a digital/online environment, two issues have been particularly contested: the making of digital copies of materials held in the library's collection, and electronic document delivery services.

Art. 5.2(c) EUCD states that member states may allow publicly accessible libraries, educational establishments or museums, or archives to make specific acts of reproduction, which are not for direct or indirect economic or commercial advantage. While the scope of the exception might be broader, the next paragraphs focus on copies for preservation or replacement purposes only. Among the challenges faced in national transpositions there is the problem of defining the exception's beneficiaries. Some countries, have simply replicated the wording of Art. 5.2(c) EUCD (e.g., France). Obviously, these implementations do not provide further guidance regarding the four - partly overlapping - types of institutions listed in Art. 5.2(c) EUCD. Similarly, it remains an open question as to what extent, for instance, university libraries (being often not entirely open to the public) fall within the scope of the privilege. Other countries, in contrast, have used more precise language. Some have further specified the institutions that benefit from the exception while others narrowed down the number of beneficiaries (e.g. Slovakia). A third way is to leave the definition of the beneficiaries for governmental agencies (e.g. Ireland).

A second question relates to the types of permissible reproductions. Some countries has implemented Art. 5.2(c) EUCD with respect to any kind of reproductions, including digital or analog works (e.g. France). Other countries (e.g., Italy) restricted their implementation to analog copies. Generally, countries require that copies can be made exclusively for the purposes of preservation and replacement of works (e.g. Slovakia). In addition, some countries explicitly allow beneficiaries to distribute these copies to similar institutions (e.g. United Kingdom). In other cases, giving copies of works to other institutions is permitted if a copy is no longer available on the market (e.g. Germany).

As for "not for direct or indirect economic or commercial advantage", countries have taken different approaches, too. As for the clause about making copies not for direct or indirect commercial advantage, some countries have limited the purpose of making copies to internal use where there is no economic advantage for the beneficiary. However, this ignores the fact that the beneficiaries such as libraries hardly use the works themselves, but by their purpose make information accessible. Thus, the approach taken by Italy, referring to the "services of the said institutions," seems to be more appropriate. One more question concerns compensation. Several countries do not provide for compensation for copies made under their implementation of Art. 5(2)c EUCD. Others require the compensation of right-holders through the distribution of levies (e.g. Germany). In analog environments, research, private use or specific library exceptions in copyright statutes around the world have permitted so-called document delivery services, either in the form of inter-library loan services or by sending copies of documents to individual users upon request. However, lawmakers across jurisdictions have generally been reluctant to extend these traditional limitations on copyright to digital content delivery.

The extent to which electronic document delivery is permitted has been contested in particular. As discussed above, Art. 5.2(c) EUCD allows member states to provide for limitations on the right of reproduction (Art. 2 EUCD). Recital 40 EUCD, however, states that Art. 5.2(c) EUCD “should not cover uses made in the context of on-line delivery of protected works or other subject matter” (assuming that electronic document delivery falls under the exclusive right of authors to communicate a work to the public, Art. 3 EUCD). On the other side, Art. 5.3(n) clearly permits the creation of an exception for document delivery to terminals located on the premises of the library or archive which serve research or private study. Yet, it has also been argued that Art. 5.3(a) EUCD might serve as a basis for both on-site as well as off-site online delivery of protected works provided that reproductions are made for research purposes. Others have argued that general private use and research exceptions may cover electronic document delivery. Given this unclear situation, explicit regulation about electronic document delivery is needed.

3.29.3 Exceptions for disabled people

As a consequence of Recital 36 in EUCD, which allows member states to provide for fair compensation also when applying optional exceptions or limitations that do not require such compensation, member states undertook different approaches as for the compensation for the use of works by the beneficiaries of the disability-exception.

In Germany, for instance, such uses generally have to be remunerated (compensation collected via collecting societies), except in the case of making individual copies. In other countries no fair compensation is required. One more problem concerns DRM systems. Most of the countries do not allow circumvention of DRM systems, even if the purpose is to make content accessible for people with disability. Some countries address this issue by requiring associations of right-holders to provide themselves for different access possibilities to protected works for people with disability. One more problem concerns distribution of copyrighted content to individuals or institutions in other European countries. This is not allowed by most of the national copyright laws.

4 DISTRIBUTION OF PUBLISHED WORKS ADAPTED FOR BLIND AND PARTIALLY SIGHTED

4.1 Negotiation with publishers

Much time and many efforts can be saved in the process of adaptation of books in alternative accessible formats if the source to adapt is not the book on paper, but the book in some digital format. Therefore, university libraries and support services for students with special needs at first should try to obtain a digital copy of the book from publishers. This request may be very delicate, due to the need to strike a balance between the right of students with disability to access education and the right of publishers to be guaranteed from illegal use of published works. In order to facilitate communication between publishers and university support services and libraries in negotiating the permission to adapt a book in electronic format for the use of blind and partially sighted students, some good practices are reported below.

First of all, it is advisable to check for the availability in accessible format of the publication needed. According to EUCD, in most Member States, if a copy of a publication is already available in accessible format, no exception for people with disability holds. This copy should be used for the person with disability. The second action to take is to check for the availability of the publication in electronic format so as to save time in adapting it from a digital format and not from paper. Some publishers make available an e-book publication in addition to the printed book. If this is the case, it is necessary to check for the accessibility of the e-book distributed by the publisher. To this purpose it is possible to ask the publisher for a trial copy of the e-book for a limited time. If the e-book doesn't fit the accessibility characteristics required, a permission to adapt the e-book in accessible format has to be requested to the publisher. In order to save time, the request for a trial copy to assess accessibility of the e-book should include also the permission request for adaptation for disabled students. Certain sorts of published works, such as scientific journals, collections, and the like, are available also in electronic format (e.g. Lecture Notes in Computer Science by Springer, ACM transactions by ACM Press) or publishers provide access to papers in electronic format through an online platform (e.g. IEEE journals, Elsevier ScienceDirect, etc.). It is advisable that university libraries or support services ask publishers for permission to adapt the electronic papers for the use of students with disability at the time when subscription is negotiated and applied. If a university subscribes only for the paper distribution of a journal, which is also distributed in electronic format, it is advisable to include in the agreement the request of permission to receive papers in electronic format to be adapted for disabled students, whether disabled students need these reading sources for learning or research purposes. In order to contact publishers for permission requests, the most effective and efficient strategy is to use the publisher's website. Some publishers make available a well-defined procedure to ask for an electronic copy of a book for people with disabilities. In this circumstance, it is advisable to undertake the steps required by the publisher. Appendix A reports a model form to be filled in on the publisher's website. Most publishers ask for the data reported in the model form.

If the publisher does not make available any procedure for special requests of reading sources in electronic format to be adapted for students with disability, it is advisable to send the request letter to the publisher. The letter should be as far as possible detailed and it should clearly state the purpose of the request and the scope of exploitation of the electronic source. A model request letter is reported in appendix B. Further model request letters in national languages can be found on the @science website (<http://www.ascience-thematic.net>, section Copyright). The letter should be sent to the appropriate contact, usually available on the publisher website, for permissions for people with disability. Most publisher websites, even if do not make available any specific form to ask for permissions for students with disability, usually make available a page for general purpose permissions (e.g. for translation, for authors, etc.). So, alternatively, if no special request form is available, the letter can be sent to a contact for general purpose permission requests. If no such contact is available, it is advisable to write or phone to a contact for general information, always available on publisher websites. Appendix C reports a list of publishers, which are used to manage requests of sources in electronic format made by university libraries or support services. A comprehensive list of publishers all over the world is maintained on the website <http://www.publishersglobal.com>. Publisher related contact details, website and description of publishing activities are reported in the list. Publishers are categorized according to country, type of publications, media, etc.

4.2 Success experiences

4.2.1 Norwegian Library for the Blind

Norwegian Library for the Blind provides blind and partially sighted readers with books in accessible digital formats. NLB does not distribute through network services. NLB can distribute books in accessible digital formats thanks to agreements with LINO, an organization authorised by its members to administer the rights of writers in respect of literary works and is empowered by them to collect any income derived from licences negotiated and to disburse the said income. In order to be registered as a borrower at the NLB, documented proof of visual impairment or print disability must be submitted. All library services are free of charge. NLB has a special department dealing with all applications from visually impaired students and arranging for the production of the literature they require in connection with their particular curriculum. Students with print disabilities are free to loan books.

4.2.2 Server H el ene

Server H el ene has been set up for the French association BrailleNet since 2001 for the use by blind and partially sighted persons. Books in digital formats (most of all in DAISY, NISO Z39.86) are stored on the server. Users can have access to the server by subscribing and providing original certification of blindness or print impairment. Files are distributed to subscribed users through an access-controlled system. Users cannot redistribute themselves the files received because they are linked to a certificate generated for their own machine. Distribution and adaptation of books in

digital formats such as DAISY or PDF for partially sighted readers are possible thanks to title-by-title agreements between BrailleNet Association and publishers. Every publisher has his own policy about author's right, Internet and digital reading. That means that books are available under different conditions. In particular, most agreements contain a clause concerning "distribution for the whole world". This clause can be applied only if the publisher has the rights to distribute the book outside France and agrees with distributing it in digital format for blind and partially sighted. At the time being, server Hélène does not produce scientific books for students.

4.2.3 Danish Library for the Blind

In Denmark, the Danish Library for the Blind produces books in several accessible digital formats. An agreement between Danish publisher and the Danish Library for the Blind makes possible adaptation of published works for the use of blind and partially sighted, provided that a DRM system is applied in their distribution. Danish Library for the Blind distribute works in accessible format by linking them to a unique ID which allows control of the use and of the work and the tracing of possible infringers.

4.3 Open Access Resources

In recent years, scientific communication has been going through several problems:

- serial pricing crisis: publishers almost totally control distribution of technological and scientific literature, access price for libraries and universities to scientific papers has been increasing more and more for years, funding for library services has been getting lower and lower for years so that few institutions are able to access scientific journals;
- permission crisis: new barriers limit access to journals (e.g. DRM systems), access control to online journals, etc.;
- unsatisfied promises of recent technology: prices haven't got lower with online journals, but they have increased because of base-spend rules which let to sum prices for paper and online journals, limitations to citations because of controlled access.

Therefore, as observed by P. Suber, scientific communication is going toward restrictions in content spreading (P. Suber, "Removing the Barriers to Research: An Introduction to Open Access for Librarians": <http://www.earlham.edu/~peters/writing/acrl.htm>).

Open access can be a solution to some of these problems. Open access aims at improving scientific research by changing the modalities which are commonly used to spread scientific literature. The open access initiative took its first crucial step with the Budapest Open Access Initiative, on February 14th, 2002, (<http://www.soros.org/openaccess/read.shtml>). This document reports the basic concepts underlying open access:

"The literature that should be freely accessible online is that which scholars give to the world without expectation of payment. Primarily, this category encompasses their peer-reviewed journal articles, but it also includes any unreviewed preprints that they might wish to put online for

comment or to alert colleagues to important research findings. There are many degrees and kinds of wider and easier access to this literature. By "open access" to this literature, we mean its free availability on the public internet, permitting any users to read, download, copy, distribute, print, search, or link to the full texts of these articles, crawl them for indexing, pass them as data to software, or use them for any other lawful purpose, without financial, legal, or technical barriers other than those inseparable from gaining access to the internet itself. The only constraint on reproduction and distribution, and the only role for copyright in this domain, should be to give authors control over the integrity of their work and the right to be properly acknowledged and cited. While the peer-reviewed journal literature should be accessible online without cost to readers, it is not costless to produce. However, experiments show that the overall costs of providing open access to this literature are far lower than the costs of traditional forms of dissemination. With such an opportunity to save money and expand the scope of dissemination at the same time, there is today a strong incentive for professional associations, universities, libraries, foundations, and others to embrace open access as a means of advancing their missions. Achieving open access will require new cost recovery models and financing mechanisms, but the significantly lower overall cost of dissemination is a reason to be confident that the goal is attainable and not merely preferable or utopian."

To achieve open access to scholarly journal literature, the Budapest open access initiative recommends two complementary strategies: self-archiving and open access scientific journals. Self-archiving refers to the opportunity researchers and scientists may have to deposit refereed journal articles in open electronic archives. When these archives conform to standards created by the Open Archives Initiative, then search engines and other tools can treat the separate archives as one. Users then need not know which archives exist or where they are located in order to find and make use of their contents. The second strategy concerns launching of a new generation of journals committed to open access, and helping existing journals that elect to make the transition to open access. Because journal articles should be disseminated as widely as possible, these new journals will no longer invoke copyright to restrict access to and use of the material they publish. Instead they will use copyright and other tools to ensure permanent open access to all the articles they publish. Because price is a barrier to access, these new journals will not charge subscription or access fees, and will turn to other methods for covering their expenses. There are many alternative sources of funds for this purpose, including the foundations and governments that fund research, the universities and laboratories that employ researchers, endowments set up by discipline or institution, profits from the sale of add-ons to the basic texts, funds freed up by the demise or cancellation of journals charging traditional subscription or access fees. There is no need to favour one of these solutions over the others for all disciplines or nations.

The open access model has developed much through years. In 1990 the open access arXiv for physics papers was set up. In 1995 two remarkable open access initiatives were launched: WoPEc for economics, NCSTRL for computer science. In 1996 PubMed included Medline as open access archive. In 2001 the Public Library of Science (PLOS) was founded. In 2003 was released the

Directory of Open Access Journals. In 2006 the European Commission issued a report in order to recommend open access for public research. In 2006, in the United States was approved the Federal Research Public Access Act of 2006 to recommend open access in federal research. In the same year at CERN was launched SCOAP3 to convert to open access particle physics journals. In 2007, the European Research Advisory Board issued a recommendation in using open access for European Union funded research. Also the European University Association recommended open access for collecting research results. The European Research Council issued the ERC Scientific Council Guidelines for Open Access which requires the deposit of papers and scientific data in open access repositories. In 2007, 427 scientific societies are publishing 496 scientific journal based on open access model. All over the world, graduation works and PhD thesis are more and more being archived in open access repositories.

In order to account for how many valuable scientific papers can be archived in open access repositories, the full process is illustrated.

At first research is done and a paper is written by one or more researchers.. Then pre-refereeing is done and a pre-print is submitted to a journal or for a conference and it is reviewed by peer experts. Based on the review report, authors revise their pre-print and submit the paper for publishing. The post-print is sometimes archived by the publishers and made available through online journals (researchers can access the post-print if their university has a subscription to the Journal). Authors are almost always free to archive in open access repositories their first draft as long as it is not refereed (because publishers haven't yet done some work on it). This may be useful, but not refereed and corrected works can be harmful for scientific learning and research. According to the publishers' copyright transfer agreements, authors are permitted to self-archive in open access repositories also: refereed pre-print, post-print (not necessarily in the format used for publishing) or pre-print together with corrections made by referees in a separate document. At present, most scientific publishers, which distribute 90% of published scientific papers, allow for some kind of self-archiving. In appendix D, a list of publishers' self-archiving policies is reported. This list was drafted by collecting data from SHERPA project and Oaklist database. Further publishers were added by contacting them through publisher associations (e.g. Federation of European Publishers). For example, Elsevier, which publishes a large amount of scientific journals, permits authors to self-archive post-prints in their own personal pages as well as in institutional repositories. Published source must be acknowledged and a link to the journal home page must be present on the page. The final PDF file used for publishing can't be used, but the document in another format or with a different layout can be self-archived. Therefore, post-prints adapted in formats accessible for blind and partially sighted persons, can be archived in open access repositories.

One more opportunity authors have in making available their work is either to distribute them under a Creative Commons license or by negotiating with publishers certain rights concerned with distribution and exploitation for teaching and research purposes (e.g. see Scholar's Copyright Addendum Engine <http://scholars.sciencecommons.org/>). For example, scholarly works published under Creative Commons Attribution 3.0 Unported license (see



<http://creativecommons.org/licenses/by/3.0>) can be adapted for blind and partially sighted readers without requesting any special permission.

5 CONCLUSIONS

Relations between specialist organizations, university libraries and support centres and the publishing industry about how to manage copyright for people with disability are still at the core of the discussion and no definitive solution has been reached up to now. Actually, at the Adaptive Content Processing conference in November 2008, EUAIN and ProAccess project members presented a Green Book about copyright topics to be discussed so as to come in the future to easier management of copyright especially as for the exceptions concerning people with disability (see

http://ec.europa.eu/internal_market/copyright/docs/copyright-info/greenpaper_en.pdf). @Science members are contributing to the Green Book by sending their comments and their experiences. At the moment, only case-by-case agreements can be negotiated with right-holders. Requests and agreements can be facilitated by using the model request letter in Appendix B (see the @science website for model letters in national languages) and by asking publishers to put on their websites a specific section to ask for permissions for people with disability (see appendix A). Appendix C makes available a model agreement which can be proposed to publishers so that some basic permissions are granted. The @Science network promotes collaboration with publisher associations so that some request procedures can become automatically accepted by all the publishers members of a certain association.

A different approach to copyright management concerns open access initiatives as illustrated in section 4.3. Open access archives are getting more and more widespread. They are a consolidated practice in some scientific communities (e.g. in physics). Therefore, open access initiatives (e.g. repositories, information about licences concerning copyright transfer agreement, information about how to authors can ask publishers agreements which make possible open access exploitation of their works, etc.) will be documented and kept updated on the @Science website. In appendix E, a list of publishers and their policies concerning self-archiving is available.

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All contributors to Open Access Initiative.



APPENDIX A: SAMPLE FORM FOR PERMISSION REQUEST FOR STUDENTS WITH DISABILITY

Please fill in the following form to request the electronic file to be adapted for students with a verified disability, who are prevented from reading instructional material on paper. The publisher cannot guarantee the availability of instructional material in any specific electronic format. The publisher will provide whatever electronic file is available.

Product Information

Title:

Edition:

Author:

ISBN:

Date of purchase (mm/dd/yy):

Applicant Information

First Name:

Last Name:

Phone Number:

Fax Number:

E-mail:

Instructor's name:



Course name:

I request the permission to store the file for future use with other students with disability:

Yes / No

(Note: a new permission request is needed to use the file for another student with disability)

Student Information

Do not fill in this section if your request is made on behalf of an institution entitled to store the file in a digital repository to the purpose of reutilization for students with disability.

First Name:

Last Name:

Full Address:

E-mail:

Name of School:

Full School Address:



APPENBDIX B: SAMPLE LETTER TO USE WITH PUBLISHERS FOR PERMISSION REQUEST

English

(headed paper)

Date

Dear (Name / Publisher),

I hereby request if it is possible for you to send me an electronic file of the following published work:

- Author:
- Title:
- Edition
- Year
- ISBN:

purchased on (MM/DD/YY) by (Name of Institution). The requested file will be adapted for (Full Name), who is a (blind / partially sighted) student

attending the course (Course Name) at (Institution). The electronic file will be used only for educational or research purposes. In order for (Institution) to support this student, we would need an electronic file in (one or more Digital Formats) format. If the file is not available in (Digital Format) format, whatever digital format is appreciated.

If possible we would request your permission for (Institution) to store the file for future use by other blind or partially sighted students.

I appreciate your assistance and I look forward to your reply.

(Name and Job title)

(Signature)

Italian

(Intestazione)

Data

Luogo

All'attenzione di (Editore)

Con la presente richiedo di poter ricevere una copia in formato elettronico della seguente pubblicazione:

- Autore:
- Titolo:
- Edizione:
- Anno di pubblicazione:
- ISBN

acquitata il (gg-mm-aa)

da (Nome Istituzione)

La copia richiesta verrà adattata per le necessità di lettura di (Nome e Cognome), studente (Non vedente / Ipovedente / Altro) del corso di (Nome Corso) presso (Nome Istituzione). La copia richiesta verrà utilizzata esclusivamente per scopi didattici o di ricerca. Al fine di facilitare il processo di adeguamento del file alle specifiche necessità di lettura dello studente, sarebbe preferibile ricevere una copia in formato (Nome Formato). Se tale formato non fosse disponibile, qualsiasi altro formato elettronico sarebbe comunque di grande utilità.

Richiedo inoltre di poter archiviare la copia ricevuta per poterla impiegare in futuro con altri studenti con specifiche necessità di lettura.

Ringrazio anticipatamente per collaborazione e porgo distinti saluti.

(Nome, Ruolo)

(Firma)

German



(Letter used by Institut Integriert Studieren at Johannes Kepler Universität in Linz)

Wir sind das Institut Integriert Studieren der Johannes-Kepler-Universität Linz. Unsere Hauptaufgabe ist der Service für blinde, sehbehinderte und printmedien-geschädigte Studierende, der darin besteht, dass wir versuchen, Studienliteratur in elektronischer Form (Word, pdf, QuarkXPress etc.) zu erhalten. Unsere Studierenden mit Behinderungen können dann auf der elektronischen Braillezeile und am PC damit arbeiten.

Einer unserer Studierenden würde dringend folgendes Buch Ihres Verlages benötigen:

- Autor
- Titel
- Auflage
- Jahr
- ISBN

Sollte es keine elektronischen Daten geben, ersuchen wir um die Erlaubnis, das Buch einscannen zu dürfen.

Der Ablauf nach Erhalt der Daten ist folgender:

Die Daten werden von unseren Tutoren in eine Form gebracht, mit der blinde und sehbehinderte Menschen arbeiten können (spezielle Formate, LaTeX - eine für Blinde lesbare mathematische Notation etc.). Dann werden die Daten auf unseren Institutsserver gestellt, wobei nur an den- bzw. diejenige/n Studierende/n Rechte auf die Daten vergeben werden, der/die sie auch tatsächlich für das Studium benötigt/en.

Zu den Verlagen, die unsere Arbeit unterstützen, zählen unter anderem auch Manz, Springer, Bertelsmann, Campus, Facultas, Hueber, Hüthig, Orac - LexisNexis, PolityPress, um nur einige zu nennen.

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Wir hoffen auf Ihr Entgegenkommen und verbleiben

mit besten Wünschen und freundlichen Grüßen

Slovakia

The following contract is successfully used by Comenius University in Bratislava with Slovak publishers.

CONVENTION

between

1. The publisher / author (name, address)
.....
.....

(hereafter referred to as provider) on the one part

and

2. Comenius University , Faculty of Mathematics, Physics and Informatics
Support Centre for Visually Impaired Students
Mlynska dolina
842 48 Bratislava
represented by: PaedDr. Elena Mendelova, CSc., the head of the Support centre



(hereafter referred to as user) of the other part

**I.
Subject of the agreement**

1. The subject of this agreement is provision of electronic version of publication:

Book title and bibliographic data

for the purpose of transformation of the book into the form which is accessible to blind users.

**II.
The commitment of the provider**

The provider commits to provide the electronic version of the above mentioned publication to the user.

**III.
The commitment of the user**

1. The user commits to use provided electronic version of the above stated publication exclusively in accordance with the subject of the agreement and its purpose. The user commits further to provide the adapted version of the publication solely to individuals who are eligible to obtain it with respect to the purpose of the agreement.
2. The user commits that they will reproduce neither provided version of the publication, nor its adapted format for commercial purposes or other purposes except from the purpose of the agreement. This condition and the explicitly personal use condition will also be imposed on persons to whom the user provides the adapted format of the publication.
3. Copyrights of the author as well as publisher's further reproduction rights remain untouched. The user will take further measures to ensure the protection against illegal copying of provided texts.
4. The provider has reserved the right to monitor the adherence to the agreed conditions.
5. In the event that the user has intentionally committed a substantial irregularity in performing the agreement, the user accepts the consequences in accordance with the Civic Codex and the Copyright Act.
6. This agreement is made in duplicate of which both parties separately hold one.

Done at Bratislava,

Signatures

.....
publisher/ author



.....
FMFI UK, Support centre for VIS
(user)



APPENBDIX C: LIST OF PUBLISHERS USED TO MANAGE REQUESTS OF PERMISSION FOR ELECTRONIC COPY

This appendix reports a non exhaustive list of publishers which are used to manage permission requests for electronic copy of reading sources made by universities or specialist organizations. Where available, the direct link to the permission request form is reported. This list will be kept updated on the @science website (<http://www.ascience-thematic.eu> in the section about Copyright).

Veda (part of Slovak academy of sciences)
<http://veda.sav.sk>

Publisher of Comenius university
<http://www.uniba.sk/index.php?id=vydavatelstvo>

Publisher of Slovak Technical University in Bratislava
http://www.stuba.sk/new/generate_page.php?page_id=676

AEP (Academic Electronic Press)
<http://www.aepress.sk>

SAP press
<http://www.sapress.sk/>

Pearson Education
<http://www.pearsoned.com/legal/permissions.htm>

Springer
<http://www.springer.de>

Manning Publications Co.
<http://www.manning.com>

O'Reilly Media
<http://oreilly.com/contact.html>

American Physics Society
<http://www.aps.org/about/contact/index.cfm>

European Mathematical Society Publishing House
<http://www.ems-ph.org/>

Universitaire Pers Leuven



<http://upers.kuleuven.be/>

Acco Europe

<http://en.accoeurope.com/>

Zanichelli Scuola

http://www.zanichelli.it/f_scuola.html

Apogeo Editore

<http://www.apogeoeditore.com>

John Wiley and Sons Inc.

<http://eu.wiley.com/WileyCDA/Section/id-302213.html>



APPENBDIX D: PUBLISHERS' POLICIES FOR SELF-ARCHIVING

These summaries are for the publishers default policies and changes or exceptions can often be negotiated by authors.

Publisher: **Academic Publishers**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged
- Must give citation
- Eligible UK authors may deposit in The Depot
- Publisher's version/PDF may be used

Mandated OA: CSO (Compliant); DoH (Compliant); DFG (Compliant); CERN (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); SNF (Compliant); Wellcome Trust (Compliant)

Publisher: **Academy of Management**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **Acoustical Society of America**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site
- Must link to publisher version
- "Any such use that purports to be the original ASA article must be identical to the ASA-formatted version."
- Published source must be acknowledged

Mandated OA: ESRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Adis Online**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- If funding agency rules apply, authors may meet full obligations.

Mandated OA: ARC (Compliant); BBSRC (Compliant); BHF (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **AEAweb**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged



- Eligible UK authors may deposit in The Depot
Mandated OA: ESRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: African Network of Scientific and Technological Institutions

Pre-print: archiving status unclear

Post-print: archiving status unclear

Mandated OA: IRCSET (Non-compliant)

Publisher: Akademiai Kiado

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing).

Conditions:

- Authors own final version only can be archived
- Publisher's version/PDF cannot be used
- On authors website or institutional repository or any repository mandated by Author's funding body
- Published source must be acknowledged
- Must state that the file is not the final published version of the paper
- Must link to publisher version ([http://dx.doi.org/\[DOI of the Article without brackets\]](http://dx.doi.org/[DOI of the Article without brackets]))
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: arc (Compliant); AHRC (Compliant); CIHR (Compliant); DoH (Compliant); ESRC (Compliant); ERC (Compliant); FWO (Compliant); HRB (Compliant); MRC (Compliant); NIH (Compliant); SAGW (Compliant); Wellcome Trust (Compliant)

Publisher: Alberta Law Review Society

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors may post citation and link to electronic copy of the journal on Quicklaw or HeinOnline (password protected sites)

Publisher: AlphaMed Press

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:

- After publication
- Only unformatted authors version can be used
- On authors personal website or institutional website (but not repository) only
- Published source must be acknowledged
- Must link to publisher version
- Publisher's version/PDF cannot be used
- Permission must be sought to post in institutional or open access repositories
- Separate policies for NIH, Wellcome Trust and HHMI authors

Mandated OA: BHF (Paid OA option); CIHR (Paid OA option); Cancer Research UK (Paid OA option); DoH (Paid OA option); HRB (Non-compliant); HHMI (Compliant); Inserm (Non-compliant); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); SNF (Compliant); Wellcome Trust (Compliant)

Paid access: Open Access Option

Publisher: American Academy of Business**Pre-print:** author cannot archive pre-print (i.e., pre-refereeing)**Post-print:** author cannot archive post-print (i.e., final draft post-refereeing)**Publisher: American Academy of Family Physicians****Pre-print:** archiving status unclear**Post-print:** subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)**Restrictions:** written permission must be gained from publisher**Publisher: American Academy of Neurology****Pre-print:** author cannot archive pre-print (i.e., pre-refereeing)**Post-print:** author cannot archive post-print (i.e., final draft post-refereeing)**Conditions:**

- NIH authors may post authors' own version in PubMed Central for release 12 month after publication

Mandated OA: BHF (Non-compliant); CSO (Non-compliant); DoH (Non-compliant); FWO (Non-compliant); HRB (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)
Publisher: American Academy of Pediatrics**Pre-print:** archiving status unclear**Post-print:** subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)**Restrictions:** 12 months**Conditions:**

- Publicly accessible online institutional archive, hosted by institution, employer or funding agency
- May link to journal article
- Final published version only

Mandated OA: arc (Unacceptable embargo); ARC (Unacceptable embargo); BHF (Unacceptable embargo); CSO (Unacceptable embargo); DoH (Unacceptable embargo); DFG (Compliant); ESRC (Compliant); FWO (Compliant); FWF (Compliant); Inserm (Unacceptable embargo); MRC (Unacceptable embargo); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Unacceptable embargo)
Publisher: American Anthropological Association**Pre-print:** author can archive pre-print (i.e., pre-refereeing)**Post-print:** author can archive post-print (i.e., final draft post-refereeing)**Conditions:**

- pre-print must be removed and replaced with post-print on publication
- electronic availability of article from in Caliber or AnthroSource must be noted
- Publisher's version/PDF cannot be used
- Publisher's statement to accompany post-print

Mandated OA: ARC (Compliant); DFG (Compliant); NIH (Compliant); Wellcome Trust (Compliant)
Publisher: American Association for Cancer Research**Pre-print:** archiving status unclear**Post-print:** subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)**Restrictions:** 12 months

Conditions:

- NIH authors may post author's own version in PubMed Central for release 12 month after publication
- HHMI, Wellcome Trust, Cancer Research UK and UK Medical Research Council authors may deposit authors own version in UKPMC for release 6 months after publication
- Authors final version may be deposited on institutional website/repository if required by institution
- Published source must be acknowledged
- Must link to the publisher PDF of article on journal website

Mandated OA: CIHR (Non-compliant); Cancer Research UK (Compliant); CSO (Non-compliant); DoH (Non-compliant); FWO (Compliant); HRB (Compliant); HHMI (Compliant); Inserm (Non-compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **American Association for the Advancement of Science**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Cannot archive until publication
- On author's personal web site
- Author's own version of accepted paper
- Must link to publisher version
- Published source must be acknowledged
- Authors covered by funding agency rules, may post authors own version in PubMed Central after a 6 month embargo
- Authors covered by funding agency rules, must state on submission, for article to be released in PubMed Central after 6 months after publication.

Mandated OA: ARC (Non-compliant); BBSRC (Compliant); BHF (Compliant); CIHR (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); ESRC (Non-compliant); FWO (Non-compliant); HHMI (Compliant); Inserm (Non-compliant); IRCSET (Non-compliant); MRC (Compliant); NHMRC (Non-compliant); NIH (Compliant); SNF (Compliant); STFC (Non-compliant); Wellcome Trust (Compliant)

Publisher: **American Association of Australian Literary Studies**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used
- Published source must be acknowledged
- On author's personal web site or non-profit repository

Mandated OA: AHRC (Compliant)

Publisher: **American Association of Immunologists**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: archiving status unclear

Conditions:

- NIH authors may post author's own version in PubMed Central for release 12 month after publication
- Set statement must accompany article
- Authors funded by HHMI, Wellcome Trust and UKPMC funders may deposit the author version of manuscripts accepted for publication in The Journal of Immunology into PMC with a delay for release to the public at 6 months after publication
- Authors funded by agencies that mandate submission to PubMed Central within 6 months after publication must contact infoji@aai.org for the submission form

Mandated OA: arc (Compliant); CIHR (Non-compliant); Cancer Research UK (Compliant); DoH (Compliant); FWO (Non-compliant); HHMI (Compliant); Inserm (Non-compliant); MRC (Compliant); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: American Association of Neurological Surgeons

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- NIH authors may submit articles to PubMed Central 12 months after publication (publisher will supply necessary files)
- Wellcome Trust authors may comply with funding conditions

Mandated OA: CSO (Non-compliant); DoH (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: American Association of Pharmaceutical Scientists

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors own final version only can be archived
- Publisher's version/PDF cannot be used
- On authors website
- On institutional repository or funders designated website/repository after 12 months
- Published source must be acknowledged
- Must link to publisher version
- Set phrase to accompany link to published version
- Articles in some journals can be made Open Access on payment of additional charge
- NIH Authors can deposit in PubMed Central for public release after 12 month embargo

Mandated OA: NIH (Compliant); SNF (Compliant)

Paid access: Open Choice

Publisher: American Association of Physics Teachers

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On Authors website or on a public e-print server
- On a non-profit server
- Publishers version/PDF may be used on Authors website only
- Set statement to accompany article
- Published source must be acknowledged with citation
- Must link to publisher version

Mandated OA: PPARC (Compliant); STFC (Compliant)

Publisher: American Association of Veterinary Laboratory Diagnosticians

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Cannot archive until publication
- If funding agency rules apply, can be posted on relevant third party, institutional or subject-based website
- Publisher copyright and source must be acknowledge
- Publisher version/PDF must be used

Mandated OA: ARC (Compliant); BBSRC (Compliant); CCLRC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); STFC (Compliant); Wellcome Trust (Compliant)

Publisher: American Astronomical Society

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged
- Publishers version/pdf can be used on author or departmental website
- Can not post on archival website or institutional repository
- Must link to publisher version

Mandated OA: DFG (Non-compliant); FWF (Non-compliant); PPARC (Non-compliant); SNF (Compliant); STFC (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: American Chemical Society

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- The author may post on the web the title of the paper, abstract (no other text), tables and figures on their own web site
- NIH funded authors may post articles to PubMed Central 12 months after publication
- May link to publisher version

Mandated OA: ARC (Non-compliant); DFG (Non-compliant); FWO (Non-compliant); FWF (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Paid access: ACS AuthorChoice

Publisher: American College of Allergy, Asthma and Immunology

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- NIH authors may comply with their mandate

Mandated OA: CIHR (Non-compliant); DoH (Non-compliant); HRB (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: American College of Chest Physicians (ACCP)

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors to accompany deposit in PubMed Central with set statement

Mandated OA: NIH (Compliant)

Publisher: American College of Sports Medicine

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors may post articles in PubMed Central 12 months after publication



Mandated OA: HHMI (Compliant); Inserm (Non-compliant); MRC (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **American Concrete Institute**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a personal website

Publisher: **American Dairy Science Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Abstract may be posted on www at time of submission
- Upon acceptance manuscript article may be posted on authors website only
- Publishers version/PDF must be used
- Must link to publisher version
- Publisher copyright and source must be acknowledged

Mandated OA: MRC (Paid OA option); NIH (Under discussion); SNF (Compliant); Wellcome Trust (Paid OA option)

Paid access: Paid Open Access option

Publisher: **American Dental Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors may link to article on publisher website
- NIH funded authors may post articles to PubMed Central for release 12 months after publication

Mandated OA: DoH (Non-compliant); MRC (Non-compliant); NIH (Compliant)

Publisher: **American Diabetes Association**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author website, institutional repository or funding body (e.g., NIH)
- Post-prints must include the set statement
- Must link to publisher version
- Must be identical to final accepted version
- Authors may make erratum at any time
- Publishers version/PDF cannot be used

Mandated OA: ARC (Compliant); BBSRC (Compliant); BHF (Compliant); CIHR (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); SNF (Compliant); Wellcome Trust (Compliant)

Publisher: **American Economic Association**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On non-commercial author's website or repositories
- Publisher copyright and source must be acknowledged
- Eligible UK authors may deposit in The Depot

Mandated OA: ESRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **American Fisheries Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Open access repositories
- Publisher's version/PDF must be used

Mandated OA: NERC (Compliant)

Publisher: **American Geographical Society**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: NERC (Non-compliant)

Publisher: **American Geophysical Union (AGU)**

Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)

Restrictions: remove when submitting for publication (if not a personal or departmental web site)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Mandated OA: ANR (Compliant); ARC (Compliant); DFG (Compliant); FWF (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Paid access: Author Choice for Open Access

Publisher: **American Heart Association**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:

- Set statement to be placed on title page for deposits required by funding agencies
- Language is not required on manuscripts not required to deposit by funding agency
- Authors version preferred

Mandated OA: ARC (Compliant); BBSRC (Compliant); BHF (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **American Institute of Aeronautics and Astronautics**

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: American Institute of Biological Sciences

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- For non-profit use
- Publisher copyright and source must be acknowledged
- Must link to published version
- Publisher's version/PDF may be used

Mandated OA: arc (Compliant); ARC (Compliant); BBSRC (Compliant); BHF (Compliant); CIHR (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); IRCSET (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant)

Publisher: American Institute of Physics

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publishers version/PDF may be used on authors personal or institutional website
- Authors own version of final article on e-print servers
- Must link to publisher version or journal home page
- Publisher copyright and source must be acknowledged
- If funding agency rules apply, authors may post articles in PubMed Central 12 months after publication or use Author Select or AIP Access X-Press to comply.

Mandated OA: ARC (Compliant); DFG (Compliant); CERN (Compliant); FWO (Compliant); FWF (Compliant); MRC (Paid OA option); NIH (Paid OA option); PPARC (Compliant); STFC (Compliant); Wellcome Trust (Paid OA option)

Paid access: Author Select

Publisher: American Library Association

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional web pages and institutional repositories
- Published source must be acknowledged

Mandated OA: AHRC (Compliant); JISC (Compliant)

Publisher: American Mathematical Society

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Must include set publisher statement - (First published in [Publication] in [volume and number, or year], published by the American Mathematical Society)
- Publisher's version/PDF may be used
- May be deposited in open access repositories
- Non-commercial
- Eligible UK authors may deposit in The Depot

Mandated OA: ESRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: American Medical Association

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Funded authors may submit final authors version of articles to an approved public repository such as, PubMed Central, 6 months after publication for JAMA and 12 months for other titles.

Mandated OA: arc (Compliant); BHF (Compliant); DoH (Compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **American Meteorological Society**

Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)

Restrictions: The pre-print must be removed upon publication and replaced by a reference to the details of the journal publication

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site
- Publisher copyright must be acknowledged with set phrase (See copyright policy)

Mandated OA: PPARC (Non-compliant); STFC (Non-compliant)

Publisher: **American Occupational Therapy Association**

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Permission to reuse articles must be sought from the publisher

Mandated OA: CIHR (Non-compliant); DoH (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Non-compliant)

Publisher: **American Physical Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Link to publisher version required
- Copyright notice required
- Publisher's version/PDF can be used on author's or employers web site, but not "on e-print servers"
- Authors version can be used on e-print servers

Mandated OA: ARC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); PPARC (Compliant); STFC (Compliant)

Paid access: Free to Read

Publisher: **American Physiological Society**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- NIH funded authors, may archive papers in PubMed Central after 12 months
- Wellcome Trust authors may archive in PubMed Central after 6 months
- Authors are requested to notify publisher of funding source at time of submission and to modify copyright statement to indicate time of release in PubMed

Mandated OA: arc (Paid OA option); DoH (Non-compliant); FWO (Non-compliant); Inserm (Non-compliant); MRC (Paid OA option); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Authors Choice

Publisher: **American Phytopathological Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Only Publisher PDF may be used, which can be obtained for a fee

Conditions:

- Publisher's version/PDF must be used
- Published source must be acknowledged
- Cover page must be included (provided by publisher)

Publisher: **American Planning Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: NERC (Non-compliant)

Publisher: **American Podiatric Medical Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Only publisher's version/PDF must be used
- Only after publication
- On institutional repository only
- Publisher copyright and source must be acknowledged

Mandated OA: CIHR (Compliant); HHMI (Compliant); NHMRC (Compliant)

Publisher: **American Psychiatric Publishing**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors final version
- Any repository
- NIH and Wellcome Trust authors may meet their funders requirements

Mandated OA: NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **American Psychological Association**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employers web site only
- Pre-print must be accompanied with statement that paper has not (yet) been published
- Some editors may consider pre-print to be pre-publication
- Publisher copyright and source must be acknowledged
- Article must include the following statement: 'This article may not exactly replicate the final version published in the APA journal. It is not the copy of record.'
- Must link to APA journal home page
- Publisher version cannot be used

- APA will submit NIH author articles to PubMed Central 11 1/2 months after publication, after author completion of form

Mandated OA: ARC (Non-compliant); DoH (Non-compliant); DFG (Compliant); ESRC (Non-compliant); FWO (Compliant); FWF (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Compliant); NIH (Paid OA option); Wellcome Trust (Paid OA option)

Publisher: **American Public Health Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- NIH authors may submit articles to PubMed Central 12 months after publication

Mandated OA: ESRC (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **American Society for Biochemistry and Molecular Biology**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publishers version/PDF cannot be used
- Publisher copyright and source must be acknowledged

Mandated OA: ARC (Compliant); BBSRC (Compliant); CIHR (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Paid access: Authors Choice

Publisher: **American Society for Bone and Mineral Research**

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors may post authors own version in PubMed Central for release 12 month after publication, along with set statement
- Inserm authors may post authors own version to HAL 12 months after publication, accompanied by a link to article website

Mandated OA: arc (Non-compliant); CIHR (Non-compliant); Inserm (Compliant); MRC (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **American Society for Cell Biology**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors personal web site or institutional digital archives
- Publishers version/PDF only can be used
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Articles are placed in PubMed Central after 2 months

Mandated OA: ARC (Compliant); BBSRC (Compliant); BHF (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: American Society for Clinical InvestigationPre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Personal websites, institutional and funding-body repositories
- Published source must be acknowledged
- Cannot appear before publication

Mandated OA: CSO (Compliant); CCLRC (Compliant); DoH (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); STFC (Compliant); Wellcome Trust (Compliant)**Publisher: American Society for Clinical Laboratory Science**Pre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author cannot archive post-print (i.e., final draft post-refereeing)Mandated OA: CCLRC (Non-compliant); IRCSET (Non-compliant); NIH (Non-compliant); STFC (Non-compliant); Wellcome Trust (Non-compliant)**Publisher: American Society for Investigative Pathology (ASIP)**Pre-print: archiving status unclearPost-print: archiving status unclearConditions:

- NIH and UKPMC funded authors will have their work automatically included in PMC after a 6 month embargo for Am J Pathology, NIH authors will have their work automatically deposited in PMC after 12 months for the Journal of Molecular Diagnostics, please clearly state funding clearly
- Permission required from publisher for deposit in other government-sponsored repositories

Mandated OA: NIH (Compliant); Wellcome Trust (Compliant)**Publisher: American Society for Microbiology**Pre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Cannot archive before publication
- Authors version
- On personal or university-hosted websites only
- If funding agency rules apply, can be posted on relevant third party, institutional or subject-based website
- Recommended that articles submitted to PubMed or similar public access site are made available 6 months after publication

Mandated OA: ARC (Compliant); BBSRC (Compliant); CIHR (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)**Publisher: American Society for Nutrition**Pre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author cannot archive post-print (i.e., final draft post-refereeing)Conditions:

- NIH authors may post articles in PubMed Central for public release 12 months after publication

Mandated OA: CIHR (Non-compliant); DoH (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Open Access Publication Option

Publisher: **American Society for Pharmacology and Experimental Therapeutics (ASPET)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors can link to freely available author's version on publisher's website
- NIH and Wellcome Trust authors may submit articles to PubMed Central 12 months after publication

Mandated OA: Inserm (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **American Society of Agricultural and Biological Engineers**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal or organizational website
- Publisher's PDF/version may be used
- Publisher's copyright notice must appear

Publisher: **American Society of Agronomy**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 18 months

Conditions:

- Encouraged to link to Highwire instead or archiving
- Note: Co-publisher with Crop Science Society of America and Soil Science Society of America

Mandated OA: NERC (Compliant)

Publisher: **American Society of Animal Science**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site
- Pre-print must be accompanied by statement that 'This version of the paper has not gone JAS peer review and is not to be considered the final published form of the article'
- Publisher's version/PDF may be used
- Publisher copyright and source must be acknowledged

Publisher: **American Society of Civil Engineers**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 90 days after publication

Conditions:

- Publisher's version/PDF cannot be used
- Internet site or institutional repository
- Must link to publisher version at ASCE Civil Engineering Database
- Publisher copyright and source must be acknowledged

Mandated OA: IRCSET (Compliant)

Publisher: **American Society of Clinical Oncology**

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors of Journal of Clinical Oncology will have their articles submitted to PubMed on their behalf for release after 12 months

Mandated OA: NIH (Compliant)

Publisher: **American Society of Hematology**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Cannot archive until publication
- On author's personal web site
- On departmental web site
- Must link to publisher version
- NIH authors will have their articles submitted to PubMed Central for release 12 months after publication
- Upon payment of fee Wellcome Trust authors may deposit immediately to PubMed Central

Mandated OA: Cancer Research UK (Non-compliant); DoH (Non-compliant); HHMI (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Publisher: **American Society of Limnology and Oceanography (ASLO)**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal web-site only
- No "institutional archiving of published papers"

Publisher: **American Society of Mechanical Engineers (ASME)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: IRCSET (Non-compliant)

Publisher: **American Society of Nephrology**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Permission to reuse articles must be sought from the publisher

Mandated OA: HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **American Society of Neuroradiology**

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors may comply with their mandate

Mandated OA: NIH (Compliant)

Publisher: **American Society of Plant Biologists**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- State that pre-print is under review/accepted
- Remove pre-print on publication and replace with link to Publisher version
- If funding agency rules apply, authors may post articles in PubMed Central after a 12 month embargo

Mandated OA: DFG (Unacceptable embargo); FWF (Unacceptable embargo); MRC (Unacceptable embargo); NIH (Compliant); Wellcome Trust (Unacceptable embargo)

Publisher: **American Society of Tropical Medicine and Hygiene**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- Publisher's version/PDF only may be used
- Publisher copyright and source must be acknowledged
- To respect embargo where possible
- If funding agency rules apply, authors may meet full obligations
- Deposit in a public repository only at permission of publisher, unless pay Open Access fee (only applies to papers published in July 2008 issue or later).

Mandated OA: ARC (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **American Sociological Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ESRC (Non-compliant)

Publisher: **American Statistical Association**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site or public digital repository
- Authors version only
- Must link to official journal web site

Mandated OA: ESRC (Compliant)

Publisher: **American Thoracic Society**

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors must notify publisher who will submit final accepted version to PubMed Central on their behalf.

Mandated OA: NIH (Compliant)

Publisher: **American Vacuum Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a public e-print server
- Publisher version cannot be used
- Must link to publisher abstract version
- If funding agency rules apply, authors may post articles in PubMed Central 12 months after publication

Mandated OA: DFG (Compliant); FWF (Compliant); NIH (Compliant); PPARC (Compliant); STFC (Compliant); Wellcome Trust (Unacceptable embargo)

Publisher: **Anadolu University**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- On a public eprint server

Mandated OA: ARC (Compliant); DFG (Compliant); ESRC (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); PPARC (Compliant); STFC (Compliant); Wellcome Trust (Compliant)

Publisher: **Anistoriton**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher source must be acknowledged
- Must link to publisher version
- Publisher's version/PDF may be used

Mandated OA: ARC (Compliant); ERC (Compliant); FWF (Compliant)

Publisher: **Annals of Family Medicine**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: written permission must be gained from publisher

Conditions:

- Content automatically sent to PubMed and UK PubMed after 6 months

Mandated OA: arc (Compliant); BHF (Compliant); CIHR (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); ERC (Compliant); HRB (Compliant); HHMI (Compliant); Inserm (Non-compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Annals of Genealogical ResearchPre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)**Publisher: Annual Reviews**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Must prominently state near the title of the preprint version that the article has been accepted for publication by Annual Reviews in a revised form
- Authors version of post-prints may be archived on one personal and one institutional website only
- Publisher copyright and source must be acknowledged
- Must link to publisher version

Mandated OA: BBSRC (Compliant); NERC (Compliant)**Publisher: Anton Melik Geographical Institute**Pre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Publisher copyright and source must be acknowledged
- Publisher's version/PDF may be used

Mandated OA: ERC (Compliant)**Publisher: Applied Probability Trust**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Publisher's version/PDF cannot be used
- Publisher copyright and source must be acknowledged

Publisher: Arctic Institute of North AmericaPre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- On authors website or institutional repository

Mandated OA: ANR (Non-compliant); CIHR (Compliant); ESRC (Compliant); NERC (Compliant); SNF (Compliant); Wellcome Trust (Compliant)**Publisher: Arnold Publishers**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)Restrictions: 12 months embargoConditions:

- On author or institutional server and PubMed Central
- On authors personal web site

- Publisher copyright and source must be acknowledged
- Publishers PDF cannot be used
- Post-print version with changes from referees comments can be used "as published" final version with layout and copy-editing changes cannot be archived but can be used on secure institutional intranet
- If funding agency rules apply, authors may use SAGE open to comply

Mandated OA: ARC (Unacceptable embargo); DFG (Compliant); ESRC (Compliant); FWF (Compliant); NHMRC (Compliant); NIH (Paid OA option - selected journals); NERC (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: SAGE Open

Publisher: **Ashgate Publishing**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged with full citation
- On author own website or institutional repository server only
- On a non-profit server
- Must be authors final version
- Publisher's version/PDF may not be used

Mandated OA: ESRC (Compliant); JISC (Compliant)

Publisher: **ASM International**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employer's web site only, except International
- Materials Review which only allows deposit on authors website
- Publisher's version/PDF may be used
- Non commercial and no fee to users
- Publisher copyright and source must be acknowledged
- Must link to publisher version

Mandated OA: SNF (Compliant)

Publisher: **Asociacion de Economia Aplicada (ASEPELT)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used

Mandated OA: ESRC (Compliant); ERC (Compliant)

Publisher: **Association for Computing Machinery (ACM)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employer's web site only
- E-print server is not-for-profit
- Pre-prints can be deposited on public repositories as long as accompanied by ACM copyright notice upon transfer of copyright

- Post-prints can only be deposited in public repositories with explicit permission of publisher
- Cannot use publisher version
- Publisher copyright and source must be acknowledged
- Must link to publisher version

Publisher: Association for Global Business

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: Association for Politics and the Life Sciences

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors retain copyright
- Publisher's version/PDF and Authors versions cannot be used in any open access repository
- Individual requests may be considered

Mandated OA: DoH (Non-compliant); ESRC (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Association for Research in Vision and Ophthalmology (ARVO)

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors may archive publisher PDF in PubMed with 12 month embargo
- Other authors may deposit in government depositories after 12 month embargo
- Wellcome Trust authors may deposit in PMC/ UKPMC after 6 months

Mandated OA: ARC (Unacceptable embargo); DFG (Compliant); FWO (Compliant); FWF (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Association for the Advancement of Computing in Education

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employer's web site only
- Publisher copyright and source must be acknowledged
- Publisher's version/PDF cannot be used

Mandated OA: ESRC (Non-compliant)

Publisher: Association of Applied Biologists

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- Publisher version cannot be used
- On personal website, employers website/repository or free public servers in the subject area
- Must link to publisher version with set phrase
- NIH authors may submit articles to PubMed Central 12 months after publication



Mandated OA: ARC (Compliant); BBSRC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **Association of Learned and Professional Society Publishers (ALPSP)**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- On author's, institution's web site or free public servers of preprints and/or articles in your subject area
- Publisher's version/PDF cannot be used unless using Author Choice option

Paid access: ALPSP Author Choice - Applies to journal Learned Publishing

Publisher: **Association pour le développement de la recherche en économie et en statistique (ADRES)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF cannot be used

Mandated OA: ESRC (Compliant); ERC (Compliant)

Publisher: **Australasian Academy of Critical Care Medicine**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ARC (Non-compliant); BHF (Non-compliant); DoH (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Australasian Society for Computers in Learning in Tertiary Education (ascilite)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print must be removed and replaced link to published version
- Published source must be acknowledged
- On author or institutional website
- Publisher's version/PDF may be used

Publisher: **Australian Academic Press**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher version cannot be used

Mandated OA: ARC (Compliant); BBSRC (Compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Australian Computer Society Inc**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server only
- Publisher copyright and source must be acknowledged

Mandated OA: ARC (Compliant)

Publisher: **Australian Library and Information Association**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged with bibliographic citation

Mandated OA: AHRC (Compliant); ESRC (Compliant); JISC (Compliant)

Publisher: **Australian Mathematical Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a public eprint server
- Publisher's version/PDF not provided

Mandated OA: ARC (Compliant); ESRC (Compliant)

Publisher: **Australian Physiotherapy Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site and institutional repository
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Publisher's version/PDF must be used
- Can meet funder requirements

Mandated OA: arc (Compliant); ARC (Compliant); DoH (Compliant); FWO (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Australian Psychological Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- On author or institutional server only
- Published source must be acknowledged

Mandated OA: ARC (Unacceptable embargo); BBSRC (Compliant); DoH (Unacceptable embargo); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Non-compliant); Inserm (Unacceptable embargo); MRC (Unacceptable embargo); NHMRC (Compliant)

Publisher: **Australian Rock Art Research Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Permission must be sought from publisher

Conditions:

- Publisher copyright and source must be acknowledged
- First publication to be cited

Mandated OA: ARC (Non-compliant)

Publisher: **Australian Society of Anaesthetists**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Permission to reuse articles must be sought from the publisher directly

Mandated OA: ARC (Non-compliant); DoH (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Banca Nazionale del Lavoro**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Permission to reuse articles must be sought from the publisher

Conditions:

- Publisher's version/PDF may be used upon request

Mandated OA: ESRC (Non-compliant)

Publisher: **Beech Tree Publishing**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or departmental web site only
- Publisher's version/PDF may be used

Mandated OA: MRC (Compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: **Begell House**

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Deposit in institutional repositories is not allowed
- Policy under discussion

Publisher: **Behaviourists for Social Responsibility**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Non-exclusive license

- Eligible UK authors may deposit in The Depot
Mandated OA: MRC (Compliant); NHMRC (Compliant); Wellcome Trust (Compliant)

Publisher: Bentham Science PublishersPre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)Restrictions: 12 months (unless federal, government, funding agencies or local policy mandates for the author's institute a different policy on self-archiving)Conditions:

- On authors personal or authors institutions server
- Published source must be acknowledged
- Must link to journal home page
- Publishers version/PDF cannot be used
- Articles in all journals can be made Open Access on payment of additional charge

Mandated OA: ANR (Compliant); arc (Paid OA option); BHF (Paid OA option); CBCRA (Compliant); CIHR (Compliant); Cancer Research UK (Paid OA option); DoH (Paid OA option); DFG (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); HRB (Compliant); HHMI (Compliant); IRCSET (Compliant); MRC (Paid OA option); NIH (Paid OA option); SNF (Compliant); Wellcome Trust (Under discussion)Paid access: Bentham Open**Publisher: Berg Publishers**Pre-print: archiving status unclearPost-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)Restrictions: 18 monthsConditions:

- Institutional repository only
- Non-commercial
- Must clearly state that the article is not the final print version
- Publisher's version/PDF cannot be used
- Must link to publisher version

Mandated OA: AHRC (Compliant); ARC (Unacceptable embargo); DFG (Unacceptable embargo); ESRC (Compliant)**Publisher: Berghahn Journals**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)Restrictions: 6 - 12 monthsConditions:

- Pre-prints must acknowledge been submitted to journal
- Pre-print must link to journal
- Authors final version only
- On author or institutional website or institutional repository or any non-commercial subject sites

Mandated OA: AHRC (Compliant - selected journals); DFG (Compliant)**Publisher: Berkeley Electronic Press**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:



- On non-commercial authors personal website, non-commercial authors open-access university and employers institutional repository and non-commercial authors course website PubMed and UK PubMed after 12 months (automatic for several journals)
- Publisher copyright and source must be acknowledged
- Publishers version/PDF may be used

Mandated OA: ARC (Compliant); BBSRC (Compliant); DFG (Compliant); ESRC (Compliant); FWF (Compliant); HHMI (Compliant); MRC (Non-compliant); NHMRC (Compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Non-compliant)

Publisher: BioMed Central

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher PDF may be used
- Eligible UK authors may deposit in The Depot

Mandated OA: ANR (Is open access); arc (Is open access); ARC (Is open access); BBSRC (Is open access); BHF (Is open access); CBCRA (Is open access); CIHR (Is open access); Cancer Research UK (Is open access); CSO (Is open access); CCLRC (Is open access); DoH (Is open access); DFG (Is open access); ERC (Is open access); FWO (Is open access); FWF (Is open access); HHMI (Is open access); Inserm (Is open access); MRC (Is open access); NHMRC (Is open access); NIH (Is open access); SNF (Is open access); Wellcome Trust (Is open access)

Publisher: Biophysical Society

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-prints on private websites only
- Post-prints on author or institutional server only
- Must link to publisher version
- Authors version can be archived immediately following publication
- If funding agency rules apply, authors may post their post print in PubMed Central 12 months after publication
- Set phrase to accompany author's version
- Publishers version/PDF may be used
- Publisher copyright and source must be acknowledged
- Some articles can be made open access (Creative Commons-Attribution-Non-Commercial) for a fee, and publisher will also submit to PMC with no embargo

Mandated OA: DFG (Compliant); FWF (Compliant); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Biophysical Journal Open Access

Publisher: Blackwell Publishing

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Some journals impose embargoes typically of 6 or 12 months, occasionally of 24 months

Conditions:

- Publisher version cannot be used
- On author or institutional or subject-based server
- Server must be non-commercial
- Publisher copyright and source must be acknowledged with set phrase
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: ANR (Compliant); arc (Compliant); ARC (Compliant); BBSRC (Compliant); BHF (Compliant); CBCRA (Compliant); CIHR (Paid OA option); Cancer Research UK (Paid OA option); DoH (Compliant); DFG



(Compliant); ESRC (Compliant); ERC (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); SAGW (Compliant); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: Online Open

Publisher: **BMJ Publishing Group**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server only
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Publisher PDF may be used by BMJ authors
- If funding agency rules apply, authors may post articles in PubMed Central 6 months after publication
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: arc (Compliant); ARC (Compliant); BBSRC (Compliant); BHF (Compliant); CIHR (Compliant); Cancer Research UK (Compliant); DoH (Compliant); DFG (Compliant); ESRC (Compliant); ERC (Compliant); FWF (Compliant); HHMI (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); SNF (Compliant); Wellcome Trust (Compliant)

Paid access: BMJ Unlocked - for all journals except BMJ, which is Open Access

Publisher: **Botanical Society of America**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal or departmental web site immediately
- Institutional Repositories after 12 months
- Publisher's version/PDF may be used
- Must link to publisher version

Publisher: **Botanical Society of the British Isles**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Mandated OA: ERC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Brill Academic Publishers**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print can only be deposited after acceptance for peer-review
- Author may post on authors own website only
- Publisher version may be posted on authors own website
- Institution may post on institutional website only
- Publisher's version/PDF cannot be used in institutional repository

Mandated OA: ESRC (Non-compliant); HHMI (Non-compliant)

Publisher: **British Association of Hand Therapists (BAHT)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Non-commercial use
- Publisher copyright and source must be acknowledged
- Publisher's version/PDF may be used (provided on request)
- Eligible UK authors may deposit in The Depot

Mandated OA: DoH (Compliant); DFG (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **British Editorial Society of Bone and Joint Surgery**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ERC (Non-compliant)

Publisher: **British Institute of Ankara**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 24 months

Conditions:

- Their own personal website, on an employer's website/repository, and on free public servers in the subject area
- Electronic versions of articles must include set statement: 'The definitive version is available in Anatolian Studies volume...year...'
- Authors are not permitted to post the definitive PDF version online.

Publisher: **British Institute of Non-destructive Testing**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ERC (Non-compliant)

Publisher: **British Psychological Society**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 month embargo

Conditions:

- Encouraged to archive, but only 6 months post print publication
- Publisher version cannot be used
- Must link to publisher version
- If funding agency rules apply, authors may post articles in PubMed Central 6 months after publication
- Eligible UK authors may deposit in The Depot

Mandated OA: ARC (Compliant); BBSRC (Compliant); DoH (Compliant); DFG (Compliant); ESRC (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **British Trust for Ornithology**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's web site only
- Publisher's version/PDF may be used
- Must be clearly labeled for personal or professional user only
- Must link to publisher website and to journal website on Ingenta

Publisher: **CAB International Publishing**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Published source must be acknowledged
- On author or institutional server only

Publisher: **Calicut Medical College**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Must link to publisher version
- On a non-profit server

Mandated OA: arc (Compliant); ARC (Compliant); BBSRC (Compliant); BHF (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Cambridge University Press**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- On authors personal or departmental web page or institutional repository or PubMed Central
- Pre-print to record acceptance for publication
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Authors version may be deposited immediately on acceptance
- Publishers version/PDF may be used on authors personal or departmental web page any time after publication
- Publishers version/PDF may be used in an institutional repository or PubMed Central after 12 month embargo
- Articles in some journals can be made Open Access on payment of additional charge
- If funding agency rules apply, authors may post articles in PubMed Central 12 months after publication or use Cambridge Open Option
- Permission (not to be unreasonably withheld) needs to be sought if authors is at a different institution when article was originally published.

Mandated OA: arc (Paid OA option - selected journals); AHRC (Compliant); ARC (Compliant); BBSRC (Compliant); DoH (Paid OA option - selected journals); DFG (Compliant); ESRC (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); IRCSET (Compliant); MRC (Paid OA option - selected journals); NHMRC (Compliant); NIH (Compliant); NERC (Compliant); SAGW (Compliant); SNF (Compliant); STFC (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: Cambridge Open OptionPublisher: **Canadian Journal of Communication**Pre-print: archiving status unclearPost-print: author can archive post-print (i.e., final draft post-refereeing)



Conditions:

- Prefer to have authors point to the open access version on our site
- Creative Commons Attribution-Noncommercial-No Derivative Works 2.5 Canada Licence

Publisher: **Canadian Medical Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Articles are placed in PubMed Central immediately on behalf of authors.

Mandated OA: CBCRA (Non-compliant); CIHR (Compliant); NIH (Compliant)

Publisher: **Carnegie Bosch Institute**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- Publisher's version/PDF may be used

Mandated OA: ESRC (Compliant)

Publisher: **Carnets de Geologie**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Creative Commons Attribution 2.5 License: Attribution - NonCommercial - ShareAlike 2.5
- All post-prints automatically posted to (HAL - Hyper Article on Line)
- Eligible UK authors may deposit in The Depot
- Published source must be acknowledged
- Publisher's version/PDF may be used

Mandated OA: ANR (Is open access); ARC (Is open access); DFG (Is open access); FWF (Is open access); NERC (Is open access); Wellcome Trust (Is open access)

Publisher: **Center for Economic Research and Graduate Education, Charles University (CERGE)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used
- Publisher copyright and source must be acknowledged

Mandated OA: ESRC (Compliant)

Publisher: **Center for International Economics, Sejong Institution, Sejong University**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF cannot be used

Mandated OA: ESRC (Compliant)

Publisher: Chemistry Central

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher PDF may be used
- Creative commons
- Eligible UK authors may deposit in The Depot

Mandated OA: ARC (Is open access); CIHR (Is open access); CSO (Is open access); CCLRC (Is open access); DFG (Is open access); FWO (Is open access); FWF (Is open access); Inserm (Is open access); MRC (Is open access); NHMRC (Is open access); NIH (Is open access); SNF (Is open access); STFC (Is open access); Wellcome Trust (Is open access)

Publisher: Co-Action Publishing

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Creative Commons Attribution-NonCommercial 3.0 Unported license
- Eligible UK authors may deposit in The Depot
- Publisher's version/PDF may be used

Mandated OA: ARC (Compliant); BBSRC (Compliant); CIHR (Compliant); DoH (Compliant); ESRC (Compliant); ERC (Compliant); HRB (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant)

Publisher: CODESRIA

Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)

Restrictions: Conference, Seminar and Workshop papers only

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used
- Publisher copyright and source must be acknowledged with citation
- Must link to publisher version

Mandated OA: ESRC (Compliant)

Publisher: Cold Spring Harbor Laboratory Press

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- Publisher will deposit in PubMed Central for public access 6 months after full issue publication
Learning & Memory will deposit on behalf of funded authors as each full issue is published
- Policy for Protein Science unclear

Mandated OA: arc (Compliant); BHF (Compliant); DoH (Compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Collegium Basilea

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On own or institutions website
- Must link to journal website

Mandated OA: SNF (Compliant)

Publisher: **Company of Biologists**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors personal web site
- Publishers version/PDF may be used
- BBSRC, HHMI, MRC, NIH and Wellcome Trust, authors may submit the non-typeset author version of articles to PubMed Central 6 months after publication or immediately upon payment of fee

Mandated OA: BBSRC (Compliant); CIHR (Non-compliant); DFG (Non-compliant); FWF (Non-compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); SNF (Compliant); Wellcome Trust (Compliant)

Publisher: **Copernicus**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Creative Commons Attribution, NonCommerical and ShareAlike Licence
- Eligible UK authors may deposit in The Depot

Mandated OA: ANR (Is open access); ARC (Is open access); BBSRC (Is open access); CIHR (Is open access); DFG (Is open access); FWF (Is open access); NERC (Is open access); PPARC (Is open access); STFC (Is open access); Wellcome Trust (Is open access)

Publisher: **Crop Science Society of America**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 18 months

Conditions:

- Encouraged to link to Highwire instead or archiving. Note: Co-publisher with Agronomy Society of America and Soil Science Society of America

Mandated OA: NERC (Compliant)

Publisher: **CSIRO Publishing**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional repository
- Must link to publisher version
- Published source must be acknowledged
- Publishers version cannot be used

Mandated OA: BBSRC (Compliant); DFG (Compliant); FWF (Compliant); HHMI (Non-compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: **Current Medicine Group**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: BHF (Non-compliant); CBCRA (Non-compliant); CIHR (Non-compliant); Cancer Research UK (Non-compliant); CSO (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Department of French and Italian, Vanderbilt University**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF must be used

Mandated OA: DFG (Compliant); SAGW (Compliant)

Publisher: **Department of Geology, Universitatitatis Babes-Bolyai**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used
- Publisher copyright and source must be acknowledged

Mandated OA: ANR (Compliant); ARC (Compliant); DFG (Compliant); FWF (Compliant); NERC (Compliant)

Publisher: **Dove Medical Press**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- In institutional or centrally organized or subject repository
- Must link to publisher version
- Published source (journal and Dove Medical Press) must be acknowledged as original place of publication

Mandated OA: CIHR (Compliant); DoH (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Duke University Press**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged
- Publisher PDF may be used
- Eligible UK authors may deposit in The Depot

Mandated OA: ARC (Compliant); DFG (Compliant); ESRC (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Ecole Nationale Vétérinaire de Toulouse**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:



-
- On a public e-print server
 - Published source must be acknowledged
 - Must link to publisher version
 - Only publisher's version/PDF may be used

Mandated OA: DFG (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); IRCSET (Compliant); SNF (Compliant)

Publisher: Ecological Society of America

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged (first page must state "Copyright by the Ecological Society of America," along with the full citation)
- On author or institutional server

Publisher: Econometric Society

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal or institutional web site
- Author's version only
- Publisher copyright must be acknowledged with set phrase
- May grant permission to post on servers on request

Publisher: Economists for Peace and Security (UK)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print can be deposited on authors own website or institutions intranet or Institutional Repository
- Pre-print must be accompanied by a set statement
- Post-print may be deposited on authors own website or Institutions intranet or Institutional or Subject Repository
- Publisher's version/PDF cannot be used
- Authors version only
- Post-print must be accompanied by set-statement

Mandated OA: ESRC (Compliant)

Publisher: ECSA Austria (European Communities Studies Association Austria)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used
- Must link to original publication website

Mandated OA: ESRC (Compliant); NIH (Compliant); SAGW (Compliant); Wellcome Trust (Compliant)

Publisher: Edinburgh University Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months embargo

Conditions:

- Pre-print must state submitted for publication
- Pre-print must be removed upon acceptance for publication
- Institutional repository only
- Authors version/PDF only
- Publisher copyright and source must be acknowledged
- Must link to publisher version

Mandated OA: AHRC (Compliant); ESRC (Compliant); HHMI (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); NERC (Compliant); Wellcome Trust (Non-compliant)

Publisher: **EDP Sciences**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors website or institutional repository or non-profit server
- Publishers version/PDF may be used
- Published source must be acknowledged
- Must link to publisher version

Mandated OA: HHMI (Compliant); IRCSET (Compliant); NIH (Compliant); PPARC (Compliant); STFC (Compliant); Wellcome Trust (Compliant)

Publisher: **Electrochemical Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- E-print servers
- Publisher's version/PDF cannot be used
- Publisher copyright and source must be acknowledged with a set phrase
- Postings made or updated after acceptance must link to publisher version

Mandated OA: NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Elsevier**

Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)

Restrictions: This does not include Cell Press

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors personal or authors institutions server
- Published source must be acknowledged
- Must link to journal home page
- Publishers version/PDF cannot be used
- Articles in some journals can be made Open Access on payment of additional charge
- NIH Authors articles will be submitted to PMC after 12 months.

Mandated OA: ANR (Compliant); arc (Paid OA option); ARC (Paid OA option); BBSRC (Compliant); BHF (Paid OA option); CIHR (Paid OA option); Cancer Research UK (Paid OA option); CSO (Paid OA option); DoH (Paid OA option); DFG (Compliant); ESRC (Compliant); CERN (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Paid OA option); Inserm (Compliant); IRCSET (Compliant); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); NERC (Compliant); PPARC (Compliant); SNF (Compliant); STFC (Compliant); Wellcome Trust (Paid OA option)

Paid access: Sponsorship Option

Publisher: Elsevier (Cell Press)

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors personal or authors institutions server
- Published source must be acknowledged
- Must link to journal home page
- Publishers version/PDF cannot be used

Mandated OA: ANR (Compliant); arc (Paid OA option); ARC (Compliant); BBSRC (Compliant); BHF (Paid OA option); CIHR (Paid OA option); Cancer Research UK (Paid OA option); CSO (Paid OA option); DoH (Paid OA option); ERC (Compliant); FWO (Compliant); HHMI (Paid OA option); IRCSET (Compliant); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Sponsorship Option

Publisher: Elsevier Masson

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors personal or authors institutions server
- Published source must be acknowledged
- Must link to journal home page
- Publishers version/PDF cannot be used
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: BHF (Paid OA option); Cancer Research UK (Paid OA option); CSO (Paid OA option); DoH (Paid OA option); ERC (Compliant); HHMI (Paid OA option); MRC (Paid OA option); NHMRC (Compliant selected journals); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Sponsorship Option

Publisher: EMAP

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: HHMI (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Emerald

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- Must link to publisher version
- Publisher version cannot be used
- Eligible UK authors may deposit in The Depot

Mandated OA: arc (Compliant); AHRC (Compliant); ARC (Compliant); BHF (Compliant); CIHR (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); ESRC (Compliant); ERC (Compliant); FWF (Compliant); JISC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Endocrine Society

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On institutional repository, PubMed Central, UK PubMed Central and PubMed Central International
- Author version only
- Publisher's version/PDF cannot be used
- May link to publisher version

Mandated OA: ARC (Compliant); BBSRC (Compliant); FWO (Compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Engineering Integrity Society**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server only
- On a non-commercial subject-based server
- Published source must be acknowledged
- Publisher's version/PDF may be used

Mandated OA: IRCSET (Compliant)

Publisher: **Entomological Society of America**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 2 years embargo

Conditions:

- One of an author's personal web site or institutional repository only
- Publisher's version/PDF (Open Access PDF) can be used for a fee
- Authors version
- Publisher copyright and source must be acknowledged
- Set statement to accompany article, 'This article is the copyright property of the Entomological Society of America and may not be used for any commercial or other private purpose without specific written permission of the Entomological Society of America'

Mandated OA: NERC (Compliant)

Publisher: **European Geosciences Union (EGU)**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Eligible UK authors may deposit in The Depot

Mandated OA: ANR (Is open access); BBSRC (Is open access); ERC (Is open access); NERC (Is open access); Wellcome Trust (Is open access)

Publisher: **European Optical Society**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Eligible UK authors may deposit in The Depot

Mandated OA: ERC (Is open access); MRC (Is open access); NIH (Is open access); Wellcome Trust (Is open access)

Publisher: European Respiratory Society

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ERC (Non-compliant); MRC (Non-compliant)

Publisher: Evolutionary Ecology

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publishers PDF may be used

Mandated OA: ANR (Compliant); ARC (Compliant); DFG (Compliant); FWF (Compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: Expert Reviews

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 3 months for personal websites; 12 months for institutional or subject based servers; Permission must be sought

Conditions:

- On authors own website or their institution's or funding body's online repository or archive
- On a non-profit server

Mandated OA: BBSRC (Compliant); HHMI (Compliant); NIH (Compliant)

Publisher: Federation of American Society of Experimental Biology (FASEB)

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors may submit articles to PubMed Central for release 12 months after publication

Mandated OA: BBSRC (Non-compliant); HHMI (Non-compliant); MRC (Paid OA option); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Open Access Option

Publisher: Florida Entomological Society

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publishers PDF can be used

Mandated OA: NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Frontiers in Bioscience

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors un-copyedited manuscript



- Publisher's version/PDF cannot be used
- Set statement to accompany
- If submission to PMC is required authors must request in writing from publisher

Mandated OA: arc (Compliant); BHF (Compliant); Cancer Research UK (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Future Medicine**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 3 months on a personal website; 12 months on institutional and not-for-profit subject servers; Permission must be sought

Conditions:

- On authors own website or their institution's or funding body's online repository or archive
- On a non-profit server

Mandated OA: CIHR (Unacceptable embargo); DoH (Unacceptable embargo); HHMI (Compliant); Inserm (Unacceptable embargo); NIH (Compliant)

Publisher: **Gallaudet University Press**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Eligible UK authors may deposit in The Depot

Mandated OA: MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Genetics Society of America**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: NIH (Under discussion); Wellcome Trust (Under discussion)

Publisher: **Geological Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-prints and post-prints may be deposited on personal or institutional servers and websites or intranets or in an online repository
- Authors final version only
- Publisher's version/PDF must not be used
- Published source must be acknowledged
- Set statement to accompany post-print
- Must link to publisher version in Lyell Collection upon publication

Mandated OA: ARC (Compliant); DFG (Compliant); FWF (Compliant)

Publisher: **Geological Society of America**

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Abstract and citation only can be posted on personal or organizational website

Publisher: Georg Thieme Verlag

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Author and Publisher version on author's personal web site
- Institutional Repository (including PubMed Central) after 12 months
- Publisher's version/PDF cannot be used
- Publisher copyright and source must be acknowledged
- Link to Publisher version must be included if article has been published online

Mandated OA: BBSRC (Compliant); DFG (Compliant); ERC (Compliant); FWF (Compliant); HHMI (Compliant); MRC (Unacceptable embargo); NHMRC (Compliant); Wellcome Trust (Unacceptable embargo)

Publisher: Georgetown University Law Center

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: AHRC (Non-compliant); ESRC (Non-compliant)

Publisher: Gerontological Society of America

Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)

Restrictions: Must be removed while under peer-review

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print may be considered prior publication by editors
- On author's or employer's web site only
- May not be archived on institutional or open access repositories
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Post-print must be authors final version only

Mandated OA: arc (Non-compliant); MRC (Non-compliant); NIH (Non-compliant)

Publisher: Guilford Publications

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Permission to reuse articles must be sought from the publisher

Mandated OA: ARC (Non-compliant); DoH (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Haworth Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors' website, employers' intranet or employers external website
- Publisher copyright and source must be acknowledged
- E-print server is non-profit



Mandated OA: ESRC (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Henry Stewart Publications

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Heron Publishing

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors version only
- Publisher's version/PDF cannot be used

Mandated OA: ANR (Compliant); NERC (Compliant)

Publisher: HFSP Publishing

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:

- Authors version of academic articles
- On author or institutional repository or designated funding body archive
- Publisher copyright and source must be acknowledged
- Publishers version/PDF may be used immediately upon payment of fee
- Immediate deposit upon payment of fee on author website, funding agency website, institutional repository or society website.

Mandated OA: DoH (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Hindawi Publishing Corporation

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher PDF may be used
- Creative commons license - see Webpage for further details
- Eligible UK authors may deposit in The Depot

Mandated OA: DoH (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Hogrefe & Huber

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employers web site only after publication
- Publisher version cannot be used

- Publisher copyright must be acknowledged with set phrase
- Must link to publisher's journal page
- Set phrase must appear "This article does not exactly replicate the final version published in the journal ?[Add title of Journal]?. It is not a copy of the original published article and is not suitable for citation."
- On personal Webpage or employers Website but not "in any repositories other than the publisher's own"

Mandated OA: ESRC (Non-compliant); HHMI (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Human Factors and Ergonomics Society

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site only
- Publisher's version/PDF must be used

Mandated OA: HRB (Non-compliant); MRC (Non-compliant); NIH (Non-compliant)

Publisher: Human Kinetics

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On the authors' own web site(s) or other electronic repositories controlled by the authors' institution
- Must be in PDF or other image capture format

Mandated OA: CIHR (Compliant); HRB (Compliant)

Publisher: Humana Press (Springer Imprint)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors own final version only can be archived
- Publisher's version/PDF cannot be used
- On authors website or institutional repository
- On funders designated website/repository after 12 months
- Published source must be acknowledged
- Must link to publisher version
- Set phrase to accompany link to published version (The original publication is available at www.springerlink.com)
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: arc (Paid OA option); ARC (Compliant); BBSRC (Compliant); BHF (Paid OA option); DoH (Unacceptable embargo); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Paid OA option); Inserm (Unacceptable embargo); IRCSET (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Open Choice

Publisher: Imperial College Press

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ESRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Inderscience

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Cannot archive until publication
- On author personal website or institutional repository only
- Publisher copyright and source must be acknowledged
- Must link to journal webpage and /or DOI
- Publisher's version/PDF cannot be used

Mandated OA: arc (Compliant); ARC (Compliant); BBSRC (Compliant); DFG (Compliant); ESRC (Compliant); FWF (Compliant); IRCSET (Compliant); Wellcome Trust (Under discussion)

Publisher: Indiana University Mathematics Journal

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Mandated OA: ARC (Compliant); ERC (Compliant)

Publisher: Indiana University Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months embargo

Conditions:

- State pre-print is 'working paper'
- Acknowledge future publication and journal and year of pre-print
- On author's personal web site and/or institutional repository
- On a non-profit server
- Publisher's version/PDF cannot be used
- Set statement to accompany post-print

Mandated OA: BBSRC (Compliant); ESRC (Compliant); NERC (Compliant)

Publisher: Indo-Pacific Journal of Phenomenology

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a non-profit server
- Published source must be acknowledged
- Publisher's version/PDF may be used

Mandated OA: ESRC (Compliant)

Publisher: Information Research

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a non-profit server
- Published source must be acknowledged
- Creative Commons Attribution-NonCommercial-NoDerivs license
- Publisher's version may be used

Mandated OA: JISC (Compliant)

Publisher: INFORMS (Institute for Operations Research and Management Sciences)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher must be informed if pre-print is archived
- May post after acceptance and prior to publication provided the site is not copyrighted or does not serve as formal repository
- Publisher's version must be used following print publication
- May consider requests to archive in repositories on a case by case basis

Mandated OA: ESRC (Non-compliant)

Publisher: InnoVision Health Media

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: Instituto Nacional de Consumo

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used

Mandated OA: ESRC (Compliant)

Publisher: Institute of Biology

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- Publisher's version/PDF may be used

Mandated OA: ANR (Compliant); ANR (Compliant); arc (Compliant); ARC (Compliant); BBSRC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: Institute of Electrical and Electronics Engineers (IEEE)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors own and employers publically accessible web pages
- Pre-print - Must be removed upon publication of final version
- Pre-print - Set-phrase must be added once submitted to IEEE for publication
- Pre-print - IEEE must be informed as to the electronic address of the pre-print
- Post-print - Publisher copyright and source must be acknowledged
- Post-print - Publishers version/PDF *must* be used

Mandated OA: ARC (Compliant); BBSRC (Compliant); IRCSET (Compliant); MRC (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: Institute of Electronics, Information and Communication Engineers

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-refereed version only
- Published source must be acknowledged once available

Mandated OA: ARC (Non-compliant); ERC (Non-compliant); IRCSET (Non-compliant)

Publisher: Institute of Mathematical Statistics

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's non-commercial personal web site or non-commercial public digital repository
- Version must be exactly as published in the journal
- Must link to publisher version
- PDF of all published articles are automatically placed in archive

Mandated OA: ESRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Institute of Physics

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- Publisher's version/PDF cannot be used, except for New Journal of Physics
- E-print server is non-profit
- If funding agency rules apply, authors may post articles in PubMed Central 12 months after publication

Mandated OA: DFG (Compliant); FWO (Compliant); FWF (Compliant); NIH (Compliant); PPARC (Compliant); STFC (Compliant); Wellcome Trust (Unacceptable embargo)

Publisher: Institute of Statistical Science, Academia Sinica

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used after 12 months

Mandated OA: ESRC (Compliant)

Publisher: Institution of Chemical Engineers

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Cannot archive until publication
- E-print server is public and non-profit

Mandated OA: ARC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Institution of Engineering and Technology

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print to be removed on publication
- On a non-profit server
- Set phrase to accompany pre-print
- On author or institutional server only
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Publishers version/PDF cannot be used
- If funding rules apply authors may post author version in designated repository

Mandated OA: ARC (Compliant); BBSRC (Compliant); IRCSET (Compliant)

Publisher: **Instytut Matematyczny Polskiej Akademii Nauk (Institute of Mathematics of Polish Academy of Sciences)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site
- Published source must be acknowledged

Mandated OA: ARC (Non-compliant); ERC (Non-compliant); IRCSET (Non-compliant)

Publisher: **Intellect**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF cannot be used
- Publisher copyright and source must be acknowledged
- DOI details to be given where possible

Publisher: **Inter Research**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- In institutional archive only
- Publisher copyright and source must be acknowledged
- 4 year embargo for use of publishers version
- Can post in subject repository after 4 year embargo
- If author pays up-front publication fee, embargo is waived for use of publisher version

Mandated OA: NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **International Association for Food Protection**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **International Atomic Energy Agency (IAEA)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)



Post-print: archiving status unclear

Conditions:

- Permission to use publishers PDF must be obtained from publisher

Mandated OA: ARC (Non-compliant); CERN (Non-compliant); ERC (Non-compliant)

Publisher: **International Computer Games Association**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print must not be peer-reviewed published or generate copyright issues
- Post-print on authors website or institutional repository preferred
- Published source must be acknowledged
- Publisher's version/PDF may be used

Publisher: **International Forum of Educational Technology and Society**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Permission must be sought from the publisher

Conditions:

- Publisher prefers authors to link to published article on journal website only
- Publisher copyright and source must be acknowledged
- Publisher's version/PDF must be used

Mandated OA: IRCSET (Non-compliant)

Publisher: **International Glaciological Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months after online publication

Conditions:

- Authors may post pre-print versions of articles at personal websites, provided that the publisher and review/acceptance status are indicated clearly
- Post-print must be final published version
- Post-print on institutional repository
- Publisher copyright and source must be acknowledged
- Must link to publisher version

Publisher: **International History Review**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **International Institute of Anticancer Research (IIAR)**

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: CIHR (Non-compliant); Cancer Research UK (Non-compliant); DoH (Non-compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)



Publisher: International Medical Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors own website, departmental website or institutional repository
- Pre-print must acknowledge submission to journal and link to journal website
- Pre-print - Upon acceptance publisher copyright and source must be acknowledged
- Post-print - Authors version only
- Post-print - Publisher copyright and source must be acknowledged, with link to article on journal website
- Set text to accompany post-print
- If funding agency rules apply, authors may post final accepted version of their research article in PMC no sooner than 12 months after publication

Mandated OA: ANR (Non-compliant); CIHR (Non-compliant); DoH (Non-compliant); HRB (Compliant); Inserm (Non-compliant); MRC (Unacceptable embargo); NIH (Compliant); Wellcome Trust (Unacceptable embargo)

Publisher: International Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a non-profit server
- Published source must be acknowledged
- Publisher's copyright must be acknowledged where this has been assigned

Mandated OA: ARC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: International Society for Horticultural Science (ISHS)

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors own version may be archived on own website
- Authors own version may be archived on institutional or funder's repository at funders request or legal obligation, provided it is not made publicly available until after 12 months of official publication
- Publisher's version/PDF cannot be used
- Publisher source must be acknowledged
- Must link to publisher version
- Set phrase to accompany link to published version

Mandated OA: ARC (Unacceptable embargo); ERC (Unacceptable embargo); NERC (Compliant)

Publisher: International Society for Optical Engineering (SPIE)

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On non-profit author's or employer's server only
- Publisher copyright and source must be acknowledged using set phrase

Mandated OA: arc (Compliant); ERC (Non-compliant)

Publisher: International Society of Bassists

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors retain copyright to their work

Mandated OA: AHRC (Compliant); DFG (Compliant)

Publisher: **International Union of Crystallography**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors website, employers website/ repository or free public servers in subject area
- Pre-print must acknowledge submission to journal
- Encouraged to post publishers version (authorised electronic reprint)
- Full bibliographic references to the article and journal
- Other post-print versions can be archived via Paid Option

Mandated OA: ARC (Compliant); CSO (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); STFC (Compliant); Wellcome Trust (Compliant)

Publisher: **International Union of Pure and Applied Chemistry**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a non-profit server
- Publisher's version/PDF must be used

Mandated OA: DFG (Compliant); FWO (Compliant); FWF (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Internet Journal of Chemistry**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a non-profit server
- Publisher's version/PDF cannot be used

Mandated OA: ARC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Internet Medical Publishing**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Attribution-NonCommercial-No Derivatives Creative Commons License

Mandated OA: ARC (Compliant); BHF (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Interperiodica**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server only
- On a non-profit server
- Publisher copyright and source must be acknowledged
- Must link to publisher website

Mandated OA: ARC (Compliant); ERC (Non-compliant); STFC (Compliant)

Publisher: **IOS Press**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal, institutional or funding agency's website
- Non-commercial use only
- Publisher copyright and source must be acknowledged
- Author's version can be used
- Publisher's pdf can be used on funding agency website for a fee

Mandated OA: ARC (Compliant); BBSRC (Compliant); DFG (Compliant); ESRC (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **IP Publishing**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors are required to contact publisher before posting (permission will not be refused for an author to use their article elsewhere after publication in the journal)
- Post-print can only be archived after publication
- Publisher's version/PDF may be used
- Set copyright statement must be used (provided by publisher)
- Publisher copyright and source must be acknowledged

Mandated OA: ESRC (Compliant); NERC (Compliant)

Publisher: **IRSA Publishing House**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **Ivyspring International Publisher**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Non-commercial use
- Publisher copyright and source must be acknowledged
- Publisher's version/PDF may be used

Mandated OA: arc (Compliant); ARC (Compliant); BBSRC (Compliant); BHF (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWF (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: IWA Publishing

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- On Intranet (not publicly accessible) only

Mandated OA: NERC (Non-compliant)

Publisher: Japan Institute of Metals

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ARC (Non-compliant); DFG (Non-compliant); FWO (Non-compliant); FWF (Non-compliant)

Publisher: John Benjamins Publishing

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF cannot be used
- On personal or institutional website
- Must link to journal homepage or Ingenta website

Mandated OA: AHRC (Compliant); CIHR (Compliant); CSO (Compliant); DoH (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: John Libbey Eurotext

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Some journals impose embargoes of 12 months; for some articles labeled "scientific societies", authors cannot archive pre-print nor post-print

Conditions:

- On authors personal or authors institutions server
- On a non-profit server
- Post-prints posted prior to publication must mention the journal in which the article will be published
- Publisher copyright and source must be acknowledged with set phrase
- Must link to publisher version/journal homepage
- Publisher version/PDF cannot be used

Mandated OA: ARC (Compliant - selected journals); BBSRC (Compliant); BHF (Non-compliant); Cancer Research UK (Non-compliant); CSO (Non-compliant); DoH (Non-compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Non-compliant); Inserm (Compliant - selected journals); MRC (Non-compliant); NHMRC (Compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: John Wiley & Sons

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal web site or secure external website at authors institution
- Not allowed on institutional repository
- JASIST authors may deposit in an institutional repository
- Non-commercial
- Pre-print must be accompanied with set phrase

- Published source must be acknowledged with set phrase
- Publisher's version/PDF cannot be used
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: arc (Paid OA option); Cancer Research UK (Paid OA option - selected journals); IRCSET (Non-compliant); MRC (Paid OA option - selected journals); NIH (Paid OA option - selected journals); SAGW (Compliant); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: Open Choice - selected journals

Publisher: **Johns Hopkins University Press**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or departmental server
- On institutional server (non-commercial, must not directly compete with either the Johns Hopkins University Press or Project Muse, must request prior permission from the publisher)

Mandated OA: Wellcome Trust (Under discussion)

Publisher: **Journal of Horticultural Science and Biotechnology**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ERC (Non-compliant); NERC (Non-compliant); Wellcome Trust (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Journal of Maps**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Preprint - any third party copyright claims must be observed
- On a non-profit server
- Publisher PDF may be used
- Full citation must be given
- Creative commons license
- Eligible UK authors may deposit in The Depot

Mandated OA: NIH (Is open access); NERC (Is open access); Wellcome Trust (Is open access)

Publisher: **Journal of Rheumatology**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- If funding agency rules apply, authors may deposit in institutional, or centrally organised repositories including PubMed Central for public release 12 months after first online publication
- Publisher's version/PDF cannot be used
- Published source must be acknowledged with set statement
- Must link to publisher version

Mandated OA: arc (Unacceptable embargo); CIHR (Unacceptable embargo); CSO (Unacceptable embargo); DoH (Unacceptable embargo); DFG (Compliant); ERC (Unacceptable embargo); HRB (Compliant); HHMI (Unacceptable embargo); ISS (Compliant); MRC (Unacceptable embargo); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Unacceptable embargo)

Paid access: Full Release Publication Optio

Publisher: Journal of Social History

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:

- Authors of Journal of Social History may deposit on personal or university website
- Publisher's version/PDF may be used

Publisher: Karger

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server
- Server must be non-commercial
- Publisher's version/PDF cannot be used
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: BBSRC (Compliant); MRC (Paid OA option); NHMRC (Compliant); Wellcome Trust (Paid OA option)

Paid access: Authors Choice

Publisher: Kassel University Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal website, subject based or institutional repositories
- Must link to publisher's site
- Publisher's PDF can be used
- Published source must be acknowledged

Publisher: Kluwer (now part of Springer)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors own final version can be archived
- Publisher's protected PDF can be used for a fee
- Published source must be acknowledged
- Must link to publisher version
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: ARC (Compliant); CIHR (Paid OA option); Cancer Research UK (Paid OA option - selected journals); ESRC (Compliant); HHMI (Paid OA option); IRCSET (Compliant); NIH (Compliant); SNF (Compliant); Wellcome Trust (Paid OA option)

Paid access: Open Choice

Publisher: Kohlhammer

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)



Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Permission to reuse articles must be sought from the publisher

Conditions:

- On author's personal web site
- Publisher copyright and source must be acknowledged

Mandated OA: NERC (Non-compliant)

Publisher: **Konkoly Observatory**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used

Publisher: **Landes Bioscience**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Upon payment of fee, NIH and Wellcome Trust authors will have publishers PDF posted on their behalf in PubMed Central

Mandated OA: Cancer Research UK (Non-compliant); IRCSET (Non-compliant); MRC (Paid OA option); NIH (Paid OA option); Wellcome Trust (Paid OA option)

Paid access: Open Access Policy

Publisher: **Laser Institute of America**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site
- On a public e-print server
- Publisher's version/PDF cannot be used
- Must link to publisher version (online abstract or entry page of journal)
- Publisher copyright must be acknowledged

Mandated OA: PPARC (Compliant); STFC (Compliant)

Publisher: **Laurenti Verlag**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Publisher: **Lawrence and Wishart**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Permission to reuse articles must be sought from the publisher

Conditions:

- Publisher's version/PDF may be used

Publisher: Lawrence Erlbaum Associates, Inc.

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print to be accompanied by notice when submitted for publication and when published
- Publisher copyright and source must be acknowledged
- Readers of post-print must be advised to contact publisher for further reprinting or re-use

Mandated OA: ESRC (Compliant)

Publisher: Libertas Academica

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- The original author must be given credit
- Reuse or distribution is made with the same conditions and permissions
- Creative Commons Attribution 3.0 License

Publisher's version/PDF may be used

Mandated OA: ARC (Compliant); DFG (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Library Publishing Media

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a public non-profit server
- Published source must be acknowledged
- Full Citation

Mandated OA: ARC (Compliant); DFG (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Library Student Journal

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Creative Commons Attribution-ShareAlike license
- Publisher's version/PDF may be used

Mandated OA: AHRC (Compliant); ESRC (Compliant); JISC (Compliant)

Publisher: Lippincott, Williams & Wilkins

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- Some journals have separate policies, please check with each journal directly
- Pre-print must be removed upon acceptance for publication
- Post-print may be deposited in personal website, university's institutional repository or employers intranet



- Publisher's version/PDF cannot be used
- Must include statement that it is not the final published version
- Published source must be acknowledged with citation Must link to publisher version
- NIH authors will have their accepted manuscripts transmitted to PubMed Central on their behalf

Mandated OA: BHF (Non-compliant); Cancer Research UK (Non-compliant); DoH (Non-compliant); HHMI (Compliant - selected journals); Inserm (Non-compliant); MRC (Compliant - selected journals); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Compliant - selected journals)

Publisher: Longwoods Publishing

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months after date of online publication

Conditions:

- Authors final version
- Authors own website, employers website, public servers, institutional and subject repositories
- Must link to publisher version
- Published source must be acknowledged
- Applies to Healthcare Quarterly, Nursing Leadership, World Health & Population and Politiques de Sante only

Mandated OA: CIHR (Paid OA option); CSO (Paid OA option); DoH (Paid OA option); HHMI (Compliant); Inserm (Unacceptable embargo); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Longwoods Open

Publisher: Lucius and Lucius Verlagsgesellschaft

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 month embargo

Conditions:

- Publisher's version/PDF may be used

Mandated OA: DFG (Compliant); ERC (Compliant)

Publisher: Magnolia Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Post-print may be archived on payment of fee

Conditions:

- Authors final version only
- Publisher's version/PDF cannot be used

Mandated OA: Wellcome Trust (Paid OA option)

Publisher: Mammal Research Institute, Polish Academy of Sciences

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Author version only
- On author website or institutional repository only
- Publisher's version/PDF cannot be used
- Published source must be acknowledged

- Must link to published article

Mandated OA: ARC (Compliant); BBSRC (Compliant); DFG (Compliant); FWF (Compliant); IRCSET (Compliant)

Publisher: **Maney Publishing**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site or institutional website
- Must link to publisher version
- Publisher copyright and source must be acknowledged
- Publisher's version/PDF may be used
- On a non-profit server

Mandated OA: ARC (Compliant); DoH (Non-compliant); ESRC (Non-compliant); FWF (Compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Under discussion)

Publisher: **Marcel Dekker**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo for STM Journals; 18 month embargo for SSH journals

Conditions:

- Some individual journals may have policies prohibiting pre-print archiving
- Publisher's version/PDF cannot be used
- On a non-profit server
- Published source must be acknowledged
- Must link to publisher version

Mandated OA: HHMI (Compliant); NIH (Compliant - selected journals); Wellcome Trust (Paid OA option - selected journals)

Paid access: iOpenAccess

Publisher: **Marine Biological Laboratory**

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors may post final revised version of their manuscript in PMC 12 months after publication
- Publisher source must be acknowledged
- Must link to publisher version

Mandated OA: NIH (Compliant)

Publisher: **Marine Technology Society**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: IRCSET (Non-compliant)

Publisher: **Marquette Books**

Pre-print: archiving status unclear



Post-print: author can archive post-print (i.e., final draft post-refereeing)

Mandated OA: ESRC (Compliant); Wellcome Trust (Compliant)

Publisher: Mary Ann Liebert

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- NIH authors will have their final paper, (post peer review, copy-editing and proof-reading) deposited in PubMed Central on their behalf

Mandated OA: Cancer Research UK (Paid OA option); DoH (Non-compliant); HHMI (Paid OA option); MRC (Paid OA option); NHMRC (Non-compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Liebert Open Option

Publisher: Massachusetts Institute of Technology Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 3 months for STM, 6 months for other titles

Conditions:

- Pre-print must be removed on publication
- Publisher copyright and source must be acknowledged
- If funding agency rules apply, authors may post articles in PubMed Central 3 months after publication.

Mandated OA: IRCSET (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Massachusetts Medical Society

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher PDF must be used
- Publisher copyright and source must be acknowledged
- On author's web site or institutional internal server only
- NIH and Wellcome Trust authors may deposit in PubMed Central after 6 months

Mandated OA: arc (Compliant); ARC (Compliant); BHF (Non-compliant); CSO (Non-compliant); DoH (Non-compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Non-compliant); MRC (Non-compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Materials Research Society

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright must be acknowledged
- Must link to publisher version or journal home page
- On authors or employers web site
- Publisher's version/PDF may be used

Publisher: Mathematical Association of America MAA)

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- One personal or class website
- Non-commercial
- Publisher copyright must be acknowledged

Publisher: **Maths, Stats and OR Network (Mathstore)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- Publisher's version/PDF may be used

Publisher: **Medical Library Association**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors retain copyright to their work
- Publisher's version/PDF only must be used
- Published source must be acknowledged

Mandated OA: arc (Compliant); AHRC (Compliant); ARC (Compliant); BHF (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); FWF (Compliant); Inserm (Compliant); JISC (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Medizinverlage Stuttgart (Thieme Publishing Imprint)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- Institutional Repository (including PubMed Central)
- Publisher's version/PDF cannot be used
- Publisher copyright and source must be acknowledged
- Link to Publisher version must be included if article has been published online

Mandated OA: DFG (Compliant); ERC (Unacceptable embargo)

Publisher: **Medknow Publications**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Non-commercial
- Publisher PDF may be used

Mandated OA: Cancer Research UK (Compliant); DoH (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Michigan Law Review**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Mandated OA: AHRC (Compliant); ESRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Modern Humanities Research Association (MHRA)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 24 month embargo

Conditions:

- On personal website or institutional repository only
- Published source must be acknowledged
- Publisher will provide PDF version for this purpose

Mandated OA: AHRC (Compliant); DFG (Unacceptable embargo); SAGW (Compliant); Wellcome Trust (Under discussion)

Publisher: **Multi-Science Publishing**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Mandated OA: IRCSET (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Multilingual Matters & Channel View Publications**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Must replace any previous version with publishers PDF
- Must clearly indicate that article has been accepted or published by journal
- Must link to publisher website

Mandated OA: DFG (Compliant); ESRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **National Academy of Sciences**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publishers copyright must be acknowledged
- Must link to publisher version
- Publisher's version/PDF cannot be used
- Authors may have articles submitted to PubMed Central 6 months after publication or upon publication with payment of fee

Mandated OA: arc (Compliant); ARC (Compliant); BHF (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); IRCSET (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); SNF (Compliant); STFC (Compliant); Wellcome Trust (Compliant)

Paid access: PNAS Open Access Option

Publisher: **National Research Council Canada**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged (must include NRC Research Press' copyright notice)
- Author's archived version must not be amended once its accepted for publication
- Encouraged to link to publishers site
- Publisher PDF may be archived 6 months after publication
- Eligible UK authors may deposit in The Depot

Mandated OA: arc (Compliant); ARC (Compliant); CIHR (Compliant); CSO (Compliant); DoH (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: National Youth Agency

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Contact publisher directly for permission

Publisher: Nature Publishing Group

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 month embargo

Conditions:

- Published source must be acknowledged and DOI cited
- Must link to published version
- Publishers version/PDF cannot be used
- On funding bodys archive, author website and institutional repository
- If funding agency rules apply, authors may post authors version to their relevant funding bodys archive, 6 months after publication
- Please note British Journal of Pharmacology has its own paid access option, which is currently in discussion with Wellcome Trust regarding compliance
- Please note that EMBO Journal and EMBO Reports also have a separate paid open access option and license

Mandated OA: ANR (Compliant); arc (Compliant); ARC (Compliant); BBSRC (Compliant); BHF (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); DFG (Compliant); ESRC (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); IRCSET (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: New York Academy of Sciences

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:

- On personal website or employers website or repository or on free public servers in your subject area
- Set statement to accompany post-print
- Publisher's version/PDF cannot be used

Publisher: New York State Society of Certified Public Accountants

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's, firm's or employer's web site only

-
- Publisher copyright and source must be acknowledged
 - Must state used with permission
 - May link to publisher website and article directly

Publisher: Nordic Ecological Society

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server only
- Publisher copyright and source must be acknowledged

Mandated OA: FWO (Compliant); FWF (Compliant); NIH (Compliant); NERC (Compliant); SNF (Compliant); Wellcome Trust (Compliant)

Publisher: Norsk Utenrikspolitisk Institutt (NUPI)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF may be used

Mandated OA: ESRC (Compliant)

Publisher: Now Publishers

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site
- Publisher's version/PDF may be used

Mandated OA: ESRC (Non-compliant); JISC (Non-compliant)

Publisher: Oceanography Society

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Can archive with permission of publisher

Conditions:

- On author's personal web site
- Publisher's version/PDF may be used
- Must link to publisher version

Mandated OA: ARC (Non-compliant); ERC (Non-compliant);

Publisher: Omicron Delta Epsilon

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Journal copyright must be acknowledged
- Publisher version can be used

Mandated OA: NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Optical Society of America**Pre-print:** author can archive pre-print (i.e., pre-refereeing)**Post-print:** author can archive post-print (i.e., final draft post-refereeing)**Conditions:**

- On a non-profit server
- Publisher version cannot be used
- Publisher copyright and source must be acknowledged with set statement

Mandated OA: ARC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)**Paid access:** Optional Open Access**Publisher: Oplulus Press****Pre-print:** author cannot archive pre-print (i.e., pre-refereeing)**Post-print:** author can archive post-print (i.e., final draft post-refereeing)**Conditions:**

- On authors or institutional web site or open access repositories only
- Publisher's version/PDF may be used
- Eligible UK authors may deposit in The Depot

Mandated OA: ANR (Compliant); NERC (Compliant)**Publisher: Oxford University Press****Pre-print:** author can archive pre-print (i.e., pre-refereeing)**Post-print:** subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)**Restrictions:** 12 month embargo on science, technology, medicine articles; 24 month embargo on arts and humanities articles**Conditions:**

- Pre-print can only be posted prior to acceptance
- Pre-print must be accompanied by set statement
- Pre-print must not be replaced with post-print, instead a link to published version with amended set statement should be made
- Publisher version cannot be used except for Nucleic Acids Research articles
- Published source must be acknowledged
- Must link to publisher version
- Set phrase to accompany archived copy
- Articles in some journals can be made Open Access on payment of additional charge
- Eligible UK authors may deposit in The Depot

Mandated OA: ANR (Compliant); ARC (Paid OA option - selected journals); Cancer Research UK (Paid OA option - selected journals); DoH (Paid OA option); DFG (Compliant - selected journals); ESRC (Compliant); CERN (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Unacceptable embargo); IRCSET (Unacceptable embargo); MRC (Paid OA option - selected journals); NHMRC (Compliant); NIH (Compliant - selected journals); SAGW (Compliant); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)**Paid access:** Oxford Open - Covers 50 journals**Publisher: Palgrave Macmillan****Pre-print:** archiving status unclear**Post-print:** subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)**Restrictions:** 24 month embargo**Conditions:**

- Authors version only
- On authors web site or institutions or funding bodys online repository/archive



- Must be clearly identified as authors post-peer-review, pre-copy-edit version
- Must link to publisher version
- Publisher copyright must be acknowledged with set phrase

Mandated OA: ARC (Non-compliant); BBSRC (Compliant); ESRC (Compliant); MRC (Non-compliant); NIH (Non-compliant); PPARC (Compliant); STFC (Compliant); Wellcome Trust (Non-compliant)

Publisher: Pavilion Publishing

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Publisher's version/PDF may be used

Mandated OA: ARC (Compliant); DoH (Compliant); DFG (Compliant); ESRC (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Penn State University Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: archiving status unclear

Conditions:

- Pre-copy-edited version only
- On author or institutional website only
- Must link to final version at Project Muse's Web site
- Publisher copyright and source must be acknowledged as appears in journal

Publisher: Pharmaceutical Press

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors final version only
- On authors own or institutional website
- Published source must be acknowledged
- Must link to publisher version

Mandated OA: ARC (Compliant); DoH (Non-compliant); ERC (Compliant); HRB (Compliant); HHMI (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Compliant); NIH (Non-compliant)

Publisher: Philosophy of Science Association

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: archiving status unclear

Conditions:

- On author's web site only

Mandated OA: Wellcome Trust (Non-compliant)

Publisher: Physicians Postgraduate Press

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: PhysMath Central

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Creative Commons Attribution License
- Eligible UK authors may deposit in The Depot

Mandated OA: CERN (Is open access); STFC (Is open access)

Publisher: Pion

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- Publisher source must be acknowledged with citation
- Pre-print on personal or institutional website only
- Post-print on public institutional or subject repository
- Set statement to accompany, "[Name of author(s), year]. The definitive, peer-reviewed and edited version of this article is published in [name of journal], volume, issue, pages, year, [DOI]"

Mandated OA: ARC (Unacceptable embargo); DFG (Compliant); ERC (Unacceptable embargo); NERC (Compliant)

Publisher: Policy Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargos for some journals

Conditions:

- Publisher's version/PDF cannot be used
- Set statement ("This is a post-peer-review, pre-copy edited version of an article published in [insert name of relevant Policy Press journal here]. The definitive publisher-authenticated version [insert complete citation information here] is available online at: [insert URL here]")
- On a non-profit server
- Must state readers not to cite authors post-print

Mandated OA: ESRC (Compliant)

Publisher: Portland Press

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:

- On author's personal web site or institutional repository
- Or in PubMed for release after 12 months
- Must link to journal website
- Published source must be acknowledged

Mandated OA: BBSRC (Compliant); BHF (Paid OA option); Cancer Research UK (Paid OA option); DoH (Paid OA option); ERC (Compliant); FWO (Compliant); FWF (Compliant - selected journals); HRB (Compliant); HHMI (Compliant); IRCSET (Compliant - selected journals); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); SNF (Compliant); Wellcome Trust (Paid OA option)

Paid access: Opt2Pay

Publisher: **Presses Agronomiques de Gembloux**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print must be removed upon archiving of post-print
- Publishers PDF only can be used

Mandated OA: ANR (Compliant); ERC (Compliant); NERC (Compliant)

Publisher: **Production and Operations Management Society**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 3 years

Publisher: **Professional Engineering Publishing (Institution of Mechanical Engineers)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors who have signed the License to Publish Agreement can automatically mount their articles on their own or their institution's web site
- Authors who have signed the Assignment of Copyright Form should request permission from the publisher
- Post-print - publisher's version/PDF must be used

Mandated OA: ARC (Compliant); ERC (Compliant); IRCSET (Compliant)

Paid access: Engineering Open Choice

Publisher: **Project HOPE**

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH authors of Health Affairs journal may post authors' own version in PubMed Central for release 12 month after publication

Mandated OA: NIH (Compliant)

Publisher: **Psychonomic Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On own website or that of institution
- Published source must be acknowledged
- Must provide publisher with url of pre-print deposits accepted for publication
- Authors may deposit in PubMed Central if required by funding agency

Mandated OA: CIHR (Compliant); DoH (Compliant); HRB (Compliant); HHMI (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)



Publisher: Public Library of Science

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Creative Commons Attribution License
- Eligible UK authors may deposit in The Depot

Mandated OA: arc (Is open access); ARC (Is open access); BBSRC (Is open access); BHF (Is open access); Cancer Research UK (Is open access); CSO (Is open access); DFG (Is open access); DoH (Is open access); FWO (Is open access); FWF (Is open access); HHMI (Is open access); Inserm (Is open access); IRCSET (Is open access); MRC (Is open access); NHMRC (Is open access); NIH (Is open access); SNF (Is open access); Wellcome Trust (Is open access)

Publisher: Quintessence Publishing

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: Radiation Research Society

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Only publishers PDF must be used (obtained for a fee)

Conditions:

- On open access repositories or websites only
- Publishers PDF must be used
- Publishers PDF can be obtained for a fee
- Permission to use elsewhere must be obtained from publisher

Mandated OA: ARC (Compliant); BBSRC (Compliant); BHF (Compliant); DoH (Compliant); FWO (Compliant); FWF (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Publisher: Radioengineering Society

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Authors may deposit post-prints immediately with payment of a fee or have an embargo of 6 months with no fee

Mandated OA: ARC (Compliant); DFG (Compliant); ERC (Compliant); SNF (Compliant); STFC (Compliant)

Publisher: Radiological Society of North America (RSNA)

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Can request from publisher permission to link to article
- NIH authors may submit to PubMed Central
- RSNA encourages a 12 month embargo on submission to PMC

Mandated OA: NIH (Compliant)

Publisher: RAND

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-prints refers to working papers only
- On author's personal web site only
- Publisher's version/PDF may be used
- Must link to publisher version

Mandated OA: MRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Raptor Research Foundation**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published must be acknowledged
- Publisher PDF may be used

Mandated OA: ARC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: RCN Publishing

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: arc (Non-compliant); BHF (Non-compliant); Cancer Research UK (Non-compliant); DoH (Non-compliant); MRC (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Resilience Alliance**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- Publishers PDF may be used

Mandated OA: ANR (Compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: **Rockefeller University Press**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal website and institutional repository
- Publisher copyright must be acknowledged
- Publisher's version/PDF may be used
- NIH and Wellcome Trust and UKPubMed Funders Group authors may submit articles to PubMed Central 6 months after publication
- Content of journals is submitted to PubMed Central for release after 6 months
- Third parties may use articles after 6 months under a Creative Commons
- Attribution-Noncommercial-Share Alike 3.0 Unported License

Mandated OA: arc (Compliant); BHF (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Rodopi**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher version cannot be used
- Published source must be acknowledged
- Must link to publisher version

Mandated OA: ANR (Compliant); BBSRC (Compliant); ESRC (Compliant); MRC (Compliant); NIH (Compliant); NERC (Compliant); Wellcome Trust (Compliant)

Publisher: **Royal College of General Practitioners**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: BHF (Non-compliant); CSO (Non-compliant); DoH (Non-compliant); HHMI (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Royal College of Midwives (RCM)**

Pre-print: archiving status unclear

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **Royal College of Psychiatrists**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- Publisher version cannot be used
- Set phrase should be included with the eprint (This is an author-produced electronic version of an article accepted for publication in the British Journal of Psychiatry.)
- Embargo waived and publisher version may be used upon payment of fee
- If funding agency rules apply, authors may post articles in PubMed Central 12 months after publication or immediately on payment of fee
- Eligible UK authors may deposit in The Depot

Mandated OA: NIH (Compliant); Wellcome Trust (Under discussion)

Publisher: **Royal College of Surgeons of England**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: archiving status unclear

Conditions:

- NIH Authors will have their articles placed in PubMed for release after 12 months, without author involvement

Mandated OA: HHMI (Non-compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **Royal Meteorological Society**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employers web site
- Publisher copyright and source must be acknowledged

- Must use publishers PDF (No other electronic version of the work may be used for this purpose)

Publisher: Royal Society

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- May post pre-print on preprint servers or websites
- Post print on author or institutional website
- Post-print on institutional or not for profit repository after 12 months
- Publisher PDF cannot be used
- Published source must be acknowledged with citation close to title of article
- Must link to publisher version close to title of article
- If funding agency rules apply, authors may post articles in PubMed Central 12 months after publication
- Articles in some journals can be made Open Access on payment of additional charge
- Eligible UK authors may deposit in The Depot (after 12 months)

Mandated OA: ESRC (Compliant); MRC (Paid OA option - selected journals); NIH (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: EXIS Open Choice

Publisher: Royal Society of Canada

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: CIHR (Non-compliant)

Publisher: Royal Society of Chemistry

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site
- Publisher PDF will be supplied and may be used on personal website
- Author's version in web repository after 12 months or immediately with fee
- Restrictions on further re-use and further distribution to be noted

Mandated OA: CERN (Compliant); MRC (Paid OA option); Wellcome Trust (Paid OA option)

Paid access: RSC Open Science

Publisher: Royal Society of Medicine

Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)

Restrictions: Institutional repository must be under access control, Central repositories 12 months after online publication unless funded by the Wellcome Trust in which case 6 months

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Institutional repository must be under access control, Central repositories 12 months after online publication unless funded by the Wellcome Trust in which case 6 months

Conditions:

- On Institutional or Central Repository (eg PubMed Central) only
- Publisher's version/PDF cannot be used
- Authors accepted manuscript only
- Publisher copyright and source must be acknowledged with set statement
- Set statements to accompany both pre-prints and post-prints



Mandated OA: BHF (Unacceptable embargo); DoH (Unacceptable embargo); HHMI (Compliant); Inserm (Unacceptable embargo); MRC (Unacceptable embargo); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Royal Society of New Zealand**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 24 months embargo

Conditions:

- On author or institutional server only
- Publisher copyright and source must be acknowledged
- Must link to publisher version

Mandated OA: ARC (Unacceptable embargo)

Publisher: **Royal Statistical Society**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- Pre-print must not mention journal submission status
- Pre-print must link to journal version no sooner than 12 months after publication
- Post-print must link to published version
- Published source must be acknowledged
- Publisher PDF cannot be used
- NIH authors may submit articles to PubMed Central 12 months after publication
- Eligible UK authors may deposit in The Depot

Mandated OA: ESRC (Compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **SAGE Publications (UK and US)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- Authors are required to contact publisher before posting (permissions below will always be granted)
- On author or institutional server and PubMed Central
- On authors personal web site
- Publisher copyright and source must be acknowledged
- Publishers PDF cannot be used
- Post-print version with changes from referees comments can be used "as published" final version with layout and copy-editing changes cannot be archived but can be used on secure institutional intranet
- If funding agency rules apply, authors may use SAGE open to comply

Mandated OA: AHRC (Compliant); ARC (Unacceptable embargo); CIHR (Paid OA option); DFG (Compliant); ESRC (Compliant); FWO (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Paid OA option); JISC (Compliant); MRC (Paid OA option); MRC (Paid OA option); NHMRC (Compliant); NIH (Paid OA option - selected journals); NERC (Compliant); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: SAGE open

Publisher: **Schattauer**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On a public eprint server
- On author's personal web site
- Publisher copyright and source must be acknowledged with set statement
- Publisher's version/PDF may be used after 12 month embargo

Mandated OA: ERC (Compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **School of Communication Studies, Auckland University of Technology (AUT)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employer's website only
- Publisher copyright must be acknowledged
- Publisher's PDF version may be used

Mandated OA: ARC (Non-compliant); IRCSET (Non-compliant)

Publisher: **School of Management, University of Bath**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **School of Slavonic and East European Studies, University College London**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 24 month embargo

Conditions:

- On author's personal web site or institutional repository
- Published source must be acknowledged with full citation
- Publisher's version/PDF may be used (provided on request)

Publisher: **Schweizerische Chemische Gesellschaft (Swiss Chemistry Society)**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months embargo

Conditions:

- Post-print on authors personal website and/or in a non-profit and non-commercial institutional repository or funding body repository
- Publisher copyright and source must be acknowledged
- Must link to journal homepage
- Publisher's version/PDF must be used (free to members of the society)

Mandated OA: ARC (Unacceptable embargo); CSO (Unacceptable embargo); DFG (Compliant); ERC (Unacceptable embargo); FWO (Compliant); SNF (Compliant)

Publisher: **Scientific Journals International (SJI)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- On a non-profit server, open-access archives or repositories.

Mandated OA: BBSRC (Compliant); DoH (Compliant); ESRC (Compliant); HHMI (Compliant); Inserm (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Scientific World**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Must link to publisher version
- Must cite published version
- On author's web site only
- Publisher's version/PDF may be used upon agreement with publisher (fee may need to be paid)

Mandated OA: ARC (Non-compliant); ERC (Non-compliant); HHMI (Non-compliant)

Publisher: **Selper**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Permission must be obtained from publisher.

Mandated OA: NERC (Non-compliant)

Publisher: **Sheffield Academic Press**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **Slavica Publishers**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Mandated OA: ESRC (Compliant)

Publisher: **Slovenian Society for Stereology and Quantitative Image Analysis**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's personal web site and institutional repository
- Authors final version only
- Publisher version/PDF can not be used
- Must Link to publisher version with set statement
- Published source must be acknowledged

Publisher: **Société Géologique de France**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: ERC (Non-compliant)

Publisher: **Société Royale Belge des Electriciens (SRBE)**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On institutional repository
- Authors final version or publishers version allowed
- Must link to publisher version
- Published source must be acknowledged

Publisher: **Society for Economic Theory**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Creative Commons Attribution-NonCommercial license
- Articles are also automatically archived in the University of Toronto's Institutional Archive T-Space

Mandated OA: ESRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Society for Endocrinology**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- Publisher copyright and source must be acknowledged
- Authors accepted manuscript must carry a set statement
- On a non-profit server
- If fee is paid, publishers version/PDF may be used immediately

Mandated OA: BBSRC (Compliant); FWO (Compliant); HHMI (Compliant); Inserm (Paid OA option); MRC (Paid OA option); NIH (Paid OA option); Wellcome Trust (Paid OA option)

Paid access: Free Access Fee

Publisher: **Society for Experimental Mechanics**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged.

Mandated OA: NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **Society for General Microbiology**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- On author or institutional server only
- Published source must be acknowledged
- Version deposited must replicate that accepted for publication (authors version)
- Set phrase to accompany archived copy
- If funding agency rules apply, authors may post articles in PubMed Central 12 months after publication or immediately with fee
- Publisher's version/PDF cannot be used unless payment of fee

Mandated OA: BBSRC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); MRC (Paid OA option); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Open Option

Publisher: **Society for In-Vitro Biology**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors personal website, institution's server, or on an organized preprint server
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Publishers version/PDF cannot be used

Mandated OA: ARC (Compliant); BBSRC (Compliant); CCLRC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); STFC (Compliant)

Publisher: **Society for Industrial and Applied Mathematics**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server only
- Non-commercial
- Publisher copyright must be acknowledged
- Publisher PDF may be used

Mandated OA: NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Society for Neuroscience**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors web site and institutional repository
- Publishers version/PDF may be used
- Must link to publisher version
- Publisher copyright must be acknowledged
- If government funding agency rules apply authors may deposit the accepted manuscript in any required or requested depository e.g. PubMed Central for public release 6 months after publication

Mandated OA: BBSRC (Compliant); BHF (Compliant); CSO (Compliant); DoH (Compliant); FWO (Compliant); HHMI (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); WellcomeTrust (Compliant)

Paid access: Open Choice

Publisher: **Society for Reproduction and Fertility**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 6 months

Conditions:

- Authors final version
- On institutional and other online repositories
- Set statement to accompany post-print

Mandated OA: ARC (Compliant); DoH (Compliant); ERC (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Paid access: Free Access Fee

Publisher: **Society for Sedimentary Geology (SEPM)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Permission to reuse articles must be sought from the publisher

Publisher: **Society for the Experimental Analysis of Behavior**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employers website only
- Pre-print must be accompanied with statement that paper has not (yet) been published
- Some editors may consider pre-print to be pre-publication
- Publisher copyright and source must be acknowledged
- Article must include the following statement: 'This article may not exactly replicate the final version published in the SEAB journal. It is not the copy of record.'
- Must link to SEAB journal home page
- Publisher version cannot be used

Publisher: **Society for the Study of Reproduction**

Pre-print: archiving status unclear

Post-print: archiving status unclear

Conditions:

- NIH, HHMI, MRC and Wellcome Trust authors may submit copy of published version to PubMed
- Must not be made publicly available until 12 months after publication
- PIP version only, and it must not be deposited until 1 day after journal publication

Mandated OA: HHMI (Compliant); MRC (Unacceptable embargo); NIH (Compliant); Wellcome Trust (Unacceptable embargo)

Publisher: **Society of Dyers and Colourists**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- Pre-prints must acknowledge submission to journal
- Posted on personal website, employers website, employers repository or free public servers in subject area
- Publisher copyright and source must be acknowledged for journal, society and Blackwell Publishing
- Authors version only
- Must include phrase, 'The definitive version is available at www.blackwell-synergy.com'

Publisher: **Society of Environmental Toxicology and Chemistry Press (SETAC)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: NERC (Non-compliant)

Publisher: **Society of Exploration Geophysicists**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author's or employer's web site only
- Publisher copyright and source must be acknowledged

Publisher: **Society of Systematic Biologists**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- Pre-print can be posted on authors website or institutional repository
- Pre-print must be accompanied with set statement, "This is a preprint of an article submitted for consideration in Systematic Biology (c) Society of Systematic Biologists. Systematic Biology published by Taylor & Francis Group and is available online at informaworld, www.informaworld.com/10635157. ", this should be changed to "This is a preprint of an article whose final and definitive form has been published in Systematic Biology (c) [year of publication] Society of Systematic Biologists: Systematic Biology is available online at informaworld [give open URL of the article]"
- Post-print can be archived on authors own website, institutional or subject repository
- Non-commercial use only
- Must be accompanied with set statement, "This is an electronic version of an article published in Systematic Biology [include the complete citation information for the final version of the article as published in the print edition of the journal]. Systematic Biology is available online at informaworld [give open URL of the article]"
- Publisher's version/PDF can be used

Mandated OA: BBSRC (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); NIH (Paid OA option); Wellcome Trust (Paid OA option)

Paid access: Taylor & Francis iOpenAccess

Publisher: **Society of the European Journal of Endocrinology**

Pre-print: archiving status unclear

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- On institutional or other online repositories
- Publisher's version/PDF cannot be used
- Set statement must accompany article

Mandated OA: DoH (Unacceptable embargo); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Unacceptable embargo); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: Free Access Fee

Publisher: Soil Science Society of AmericaPre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)Restrictions: 18 monthsConditions:

- Encouraged to link to Highwire instead or archiving
- Note: Co-publisher with Agronomy Society of America and Crop Science Society of America

Mandated OA: NERC (Compliant)**Publisher: Springer Verlag (Germany)**Pre-print: author can archive pre-print (i.e., pre-refereeing)Post-print: author can archive post-print (i.e., final draft post-refereeing)Conditions:

- Authors own final version only can be archived
- Publisher's version/PDF cannot be used
- On authors website or institutional repository
- On funders designated website/repository after 12 months at the funders request or as a result of legal obligation
- Published source must be acknowledged
- Must link to publisher version
- Set phrase to accompany link to published version
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: ANR (Compliant); arc (Paid OA option); ARC (Compliant); BBSRC (Compliant); BHF (Paid OA option); CIHR (Compliant); Cancer Research UK (Paid OA option); CSO (Unacceptable embargo); DoH (Unacceptable embargo); DFG (Compliant); ESRC (Compliant); ERC (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Paid OA option); Inserm (Unacceptable embargo); IRCSET (Compliant); MRC (Paid OA option); NHMRC (Compliant); NIH (Compliant); NERC (Compliant); PPARC (Compliant); SNF (Compliant); STFC (Compliant); Wellcome Trust (Paid OA option)

Paid access: Open Choice**Publisher: St Jerome Publishing**Pre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author cannot archive post-print (i.e., final draft post-refereeing)**Publisher: Stanford University Law School**Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)Restrictions: Prior to publication only (once in print, must be removed)Post-print: author cannot archive post-print (i.e., final draft post-refereeing)Conditions:

- On author's own web site

Mandated OA: AHRC (Non-compliant); ESRC (Non-compliant)**Publisher: Stata Press**Pre-print: author cannot archive pre-print (i.e., pre-refereeing)Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: Symposium Journals

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On institutional archive
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Publisher's version/PDF may be used after 18 months

Publisher: Taylor & Francis

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo for STM Journals; 18 month embargo for SSH journals

Conditions:

- Some individual journals may have policies prohibiting pre-print archiving
- Publisher's version/PDF cannot be used
- On a non-profit server
- Published source must be acknowledged
- Must link to publisher version
- Publisher will deposit to PMC on behalf of NIH authors.

Mandated OA: AHRC (Compliant); ARC (Unacceptable embargo); CIHR (Paid OA option - selected journals); Cancer Research UK (Paid OA option - selected journals); DFG (Compliant - selected journals); ESRC (Compliant); CERN (Compliant); FWO (Compliant); FWF (Compliant - selected journals); HHMI (Compliant); Inserm (Unacceptable embargo); IRCSET (Unacceptable embargo); MRC (Paid OA option - selected journals); NIH (Compliant - selected journals); PPARC (Compliant); SNF (Compliant); STFC (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: iOpenAccess - 213 journals

Publisher: Taylor & Francis (CRC Press)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo for STM Journals; 18 month embargo for SSH journals

Conditions:

- Some individual journals may have policies prohibiting pre-print archiving
- Publisher's version/PDF cannot be used
- On a non-profit server
- Published source must be acknowledged
- Must link to publisher version

Mandated OA: CIHR (Paid OA option - selected journals); DFG (Compliant - selected journals); FWO (Compliant); FWF (Compliant - selected journals); HHMI (Compliant); Inserm (Unacceptable embargo); IRCSET (Unacceptable embargo); NIH (Compliant - selected journals); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: iOpenAccess

Publisher: Taylor & Francis (Informa Healthcare)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo for STM Journals; 18 month embargo for SSH journals

Conditions:

- Pre-print allowed on author website, institutions intranet, institutional repository
- Post-print on author website, institutions intranet, institutional or subject repository
- Publisher copyright and source must be acknowledged with set phrases
- On a non-profit server
- Authors version only
- Must link to publisher version

Mandated OA: arc (Unacceptable embargo); ARC (Unacceptable embargo); BBSRC (Compliant); CIHR (Paid OA option - selected journals); Cancer Research UK (Paid OA option - selected journals); CSO (Unacceptable embargo); DoH (Unacceptable embargo); DFG (Compliant - selected journals); FWO (Compliant); FWF (Compliant - selected journals); HHMI (Compliant); Inserm (Unacceptable embargo); IRCSET (Unacceptable embargo); MRC (Paid OA option - selected journals); NHMRC (Compliant); NIH (Compliant - selected journals); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: iOpenAccess

Publisher: Taylor & Francis (Psychology Press)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo for STM Journals; 18 month embargo for SSH journals

Conditions:

- Some individual journals may have policies prohibiting pre-print archiving
- Publisher's version/PDF cannot be used
- On a non-profit server
- Published source must be acknowledged
- Must link to publisher version
- Publisher will deposit to PMC on behalf of NIH authors.

Mandated OA: ARC (Unacceptable embargo); CIHR (Paid OA option - selected journals); CSO (Unacceptable embargo); DoH (Unacceptable embargo); DFG (Compliant - selected journals); EPSRC (Compliant); FWO (Compliant); FWF (Compliant - selected journals); HHMI (Compliant); Inserm (Unacceptable embargo); IRCSET (Unacceptable embargo); NHMRC (Compliant); NIH (Compliant - selected journals); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: iOpenAccess - 7 journal only

Publisher: Taylor & Francis (Routledge)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo for STM Journals; 18 month embargo for SSH journals

Conditions:

- Some individual journals may have policies prohibiting pre-print archiving
- Publisher's version/PDF cannot be used
- On a non-profit server
- Published source must be acknowledged
- Must link to publisher version
- Publisher will deposit to PMC on behalf of NIH authors.

Mandated OA: CIHR (Paid OA option - selected journals); DFG (Compliant); ESRC (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Unacceptable embargo); IRCSET (Unacceptable embargo); NIH (Compliant - selected journals); SNF (Compliant); Wellcome Trust (Paid OA option - selected journals)

Paid access: iOpenAccess

Publisher: Thieme Publishing

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors and Publishers version on author's personal web site
- Institutional Repository (including PubMed Central) after 12 months
- Publisher's version/PDF cannot be used
- Publisher copyright and source must be acknowledged
- Link to Publisher version (www.thieme-connect.com) must be included if article has been published online

Mandated OA: BBSRC (Compliant); DoH (Unacceptable embargo); ERC (Compliant); ERC (Unacceptable embargo); HHMI (Compliant); Inserm (Unacceptable embargo); MRC (Unacceptable embargo); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Unacceptable embargo)

Publisher: **Thomas Land Publishers**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Permission to post articles must be sought from the publisher

Conditions:

- Publisher copyright and source must be acknowledged
- Publisher's version/PDF may be used on request

Publisher: **Thomas Telford**

Pre-print: subject to Restrictions below, author can archive pre-print (i.e., pre-refereeing)

Restrictions: Permission must be sought from publisher

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Permission must be sought from publisher

Publisher: **Thomson Legal & Regulatory**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Published source must be acknowledged
- On a non-profit server
- Embargo until published

Mandated OA: NIH (Compliant)

Publisher: **Trans Tech Publications**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On authors personal or authors institutions server
- Published source must be acknowledged
- Must link to journal home page
- Publishers version/PDF cannot be used
- Articles in some journals can be made Open Access on payment of additional charge

Mandated OA: ARC (Compliant); BBSRC (Compliant); DFG (Compliant); FWF (Compliant); MRC (Non-compliant); NHMRC (Compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: **Ulmer**



Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 5 years embargo time

Conditions:

- Publisher's version/PDF may be used

Mandated OA: ANR (Compliant); DFG (Unacceptable embargo); ERC (Unacceptable embargo); NERC (Compliant)

Publisher: **UNESCO International Centre for Engineering Education**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: IRCSET (Non-compliant)

Publisher: **United Kingdom Serials Group (UKSG)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author personal or institutional website or institutional repository
- Publisher copyright and source must be acknowledged
- May link to publisher PDF

Mandated OA: AHRC (Compliant); JISC (Compliant)

Publisher: **University of California Press**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal website, subject-based and institutional repositories
- Pre-print must be removed and replaced with post-print on publication
- Electronic availability of article from in Caliber or AnthroSource must be noted
- Publisher's version/PDF can be used
- Publisher's statement to accompany post-print
- If article is not online you may scan your article from the paper edition and post a PDF copy online as per guidelines above

Mandated OA: BBSRC (Compliant); ESRC (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **University of Chicago Press**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: Some journals impose embargoes varying between 6 months and 3 years, majority are 12 months

Conditions:

- On a non-profit author, institutional or discipline-specific pre-print server
- Publisher copyright and source must be acknowledged
- Authors are encouraged to use publisher version (PDF) or link to publisher version
- Wellcome Trust and MRC authors may post authors own version in PubMed Central/PubMed Central UK 6 month after publication
- NIH authors may post authors own version in PubMed Central 12 months after publication

Mandated OA: HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **University of Hawaii Press**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Individual journals have differing conditions regarding pre-prints
- Publisher's version/PDF should be used by preference
- Publisher copyright and source must be acknowledged

Mandated OA: BBSRC (Compliant); ESRC (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: **University of Illinois Press**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months to 3 years

Conditions:

- Pre-prints can only be posted on university repository
- Some journals have own pre-print policy, please check with journal directly
- Post-print must be on a non-profit server
- Articles posted on non-profit server must be 12 month old
- Articles posted on personal or commercial website must be 3 years old
- Set statement to accompany pre-print and post-print
- Must link to journal home page

Mandated OA: ARC (Non-compliant); DoH (Non-compliant); DFG (Compliant); ESRC (Compliant); FWF (Compliant); MRC (Non-compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Non-compliant)

Publisher: **University of Ottawa Law & Technology Journal (UOLTJ)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Pre-print must be replaced with final typeset version within 2 weeks of receiving copy
- Upon acceptance on author's personal web site, SSRN or similar scholarly web forum
- If prior to publication, must state forthcoming with citation
- Post-print must have published source must be acknowledged on or associated with front page or beginning of article
- Publisher's version/PDF may be used

Mandated OA: IRCSET (Non-compliant)

Publisher: **University of Texas Press**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 months

Conditions:

- On personal website, institutional repository or discipline specific not-for-profit server at time of acceptance for publication
- Pre-print must be marked on opening page as a 'working paper'
- Cinema Journal can be archived on author's personal web site or institutional repository with no embargo

- Post-prints must acknowledge published source with "This is a pre-copyedited version of an article accepted for publication in (journal title) following peer review. The definitive publisher-authenticated version is available through the University of Texas Press."
- Publisher version cannot be used

Publisher: University of the Basque Country Press (UBC Press)

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors of International Journal of Developmental Biology can deposit accepted version in PubMed Central after 6 months
 - Publisher's version/PDF may be deposited immediately on authors behalf if Open Access fee is paid
- Mandated OA: ARC (Compliant); CSO (Compliant); DoH (Compliant); ERC (Compliant); HRB (Compliant); HHMI (Compliant); MRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: University of Toronto Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors version only
- Open Access repositories

Publisher: University Publishing Group

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Mandated OA: DoH (Non-compliant); Inserm (Non-compliant); MRC (Non-compliant); NHMRC (Non-compliant); NIH (Non-compliant); Wellcome Trust (Non-compliant)

Publisher: Universitätsverlag Göttingen (Goettingen University Press)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal website, subject-based and institutional repositories
 - Non-commercial use only
 - Authors retain non-exclusive rights for electronic publications
 - Published source must be acknowledged
 - Publishers PDF can be used
 - Creative commons license available for some publications
- Mandated OA: DFG (Compliant); ERC (Compliant); SAGW (Compliant); SNF (Compliant)

Publisher: Universitätsverlag Karlsruhe (Karlsruhe University Press)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal website, subject-based and institutional repositories
- Non-commercial use only
- Publisher's PDF can be used
- Published source must be acknowledged

- Creative Commons License
- Mandated OA: DFG (Compliant); ERC (Compliant); SAGW (Compliant); SNF (Compliant)

Publisher: **Vathek Publishing**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On institutional server
- Publisher PDF may be used
- Published source must be acknowledged

Mandated OA: NIH (Non-compliant)

Publisher: **Verlag der Österreichischen Akademie der Wissenschaften (Austrian Academy of Sciences Press)**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 2 years (24 months) from publication date of article

Conditions:

- Authors version only
- Publisher copyright and source must be acknowledged
- Must link to publisher version
- Journal articles can be made Open Access on payment of additional charge

Mandated OA: FWF (Compliant); IRCSET (Unacceptable embargo); SAGW (Compliant); SNF (Compliant)

Publisher: **Vittorio Klostermann**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors version only
- Publisher copyright and source must be acknowledged
- Publisher's version/PDF cannot be used

Mandated OA: DFG (Compliant); ERC (Compliant)

Publisher: **Wageningen Academic Publishers**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Authors own website and institutional repository
- Publisher's version/PDF cannot be used
- Must link to publisher version
- Published source must be acknowledged
- Must be accompanied by following text 'The original publication is available at...'

Mandated OA: (Awaiting information)

Publisher: **Walter de Gruyter**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: archiving status unclear

Conditions:

- Pre-print and abstract on authors personal website only
- Abstract and Link to original article on repositories
- Institutional repositories may be allowed to include scanned version of articles not available in electronic format
- Must link to publisher version
- Some journals may have alternative policies
- NIH funded authors may submit their authors final version to PubMed Central for release 12 months after publication

Mandated OA: ERC (Non-compliant); FWO (Non-compliant); MRC (Paid OA option); NIH (Compliant); Wellcome Trust (Paid OA option)

Paid access: WdG Open Access

Publisher: Westburn Publishers

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 18 months

Conditions:

- On authors website or institutional repository
- Non-commercial
- Publisher's version/PDF cannot be used
- Must link to publisher website and when available to final published version on publishers website
- Pre-print and post-print must not be made available for systematic distribution by any third party, including email lists and databases
- Pre-print and Post-print must be accompanied in full text file or metadata with set statements

Publisher: White Horse Press

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher's version/PDF cannot be used
- On a non-profit server
- Publisher copyright and source must be acknowledged
- Must link to publisher version

Mandated OA: NERC (Compliant)

Publisher: Whitehouse Publishing (UK)

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Publisher copyright and source must be acknowledged
- Must link to publisher version or publisher
- Citation must be given in format Volume, Issue, along with a reference to <http://www.acnr.co.uk/archive.htm>

Mandated OA: ARC (Compliant); DoH (Compliant); DFG (Compliant); FWO (Compliant); FWF (Compliant); HHMI (Compliant); Inserm (Compliant); MRC (Compliant); NHMRC (Compliant); NIH (Compliant); Wellcome Trust (Compliant)

Publisher: Wiley-VCH Verlag Berlin

Pre-print: author can archive pre-print (i.e., pre-refereeing)



Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On author or institutional server or e-print server (not for commercial sale)
- Published source must be acknowledged with set phrases: before publication use "Submitted to/accepted for publication in (journal) (year) WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim", after publication use "Published in (full citation)"
- Must link to publisher's site
- Publisher's version/PDF cannot be used
- Some journal exceptions-check individual homepages

Mandated OA: ANR (Compliant); BHF (Compliant); CIHR (Compliant); Cancer Research UK (Compliant); CSO (Compliant); DoH (Compliant); ESRC (Compliant); ERC (Compliant); HHMI (Compliant); Inserm (Compliant); IRCSET (Compliant); MRC (Compliant); NIH (Compliant); PPARC (Compliant); SAGW (Compliant); SNF (Compliant); STFC (Compliant); Wellcome Trust (Compliant)

Publisher: **Wire Association International (WAI)**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: author cannot archive post-print (i.e., final draft post-refereeing)

Publisher: **World Health Organization**

Pre-print: archiving status unclear

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- Must link to publisher version
- Published source must be acknowledged
- On author or institutional website only

Publisher: **World Scientific Publishing**

Pre-print: author can archive pre-print (i.e., pre-refereeing)

Post-print: author can archive post-print (i.e., final draft post-refereeing)

Conditions:

- On personal website or institutional repository
- Publisher's version/PDF cannot be used
- Set statement to accompany preprint and post-print

Mandated OA: ARC (Compliant); BBSRC (Compliant); DoH (Non-compliant); ESRC (Compliant); FWF (Compliant); HHMI (Non-compliant); Inserm (Non-compliant); IRCSET (Compliant); MRC (Non-compliant); NHMRC (Compliant); NIH (Non-compliant); NERC (Compliant); SNF (Compliant); Wellcome Trust (Non-compliant)

Publisher: **Yale Law School**

Pre-print: author cannot archive pre-print (i.e., pre-refereeing)

Post-print: subject to Restrictions below, author can archive post-print (i.e., final draft post-refereeing)

Restrictions: 12 month embargo

Conditions:

- Published source must be acknowledged

Mandated OA: AHRC (Compliant); ESRC (Compliant)